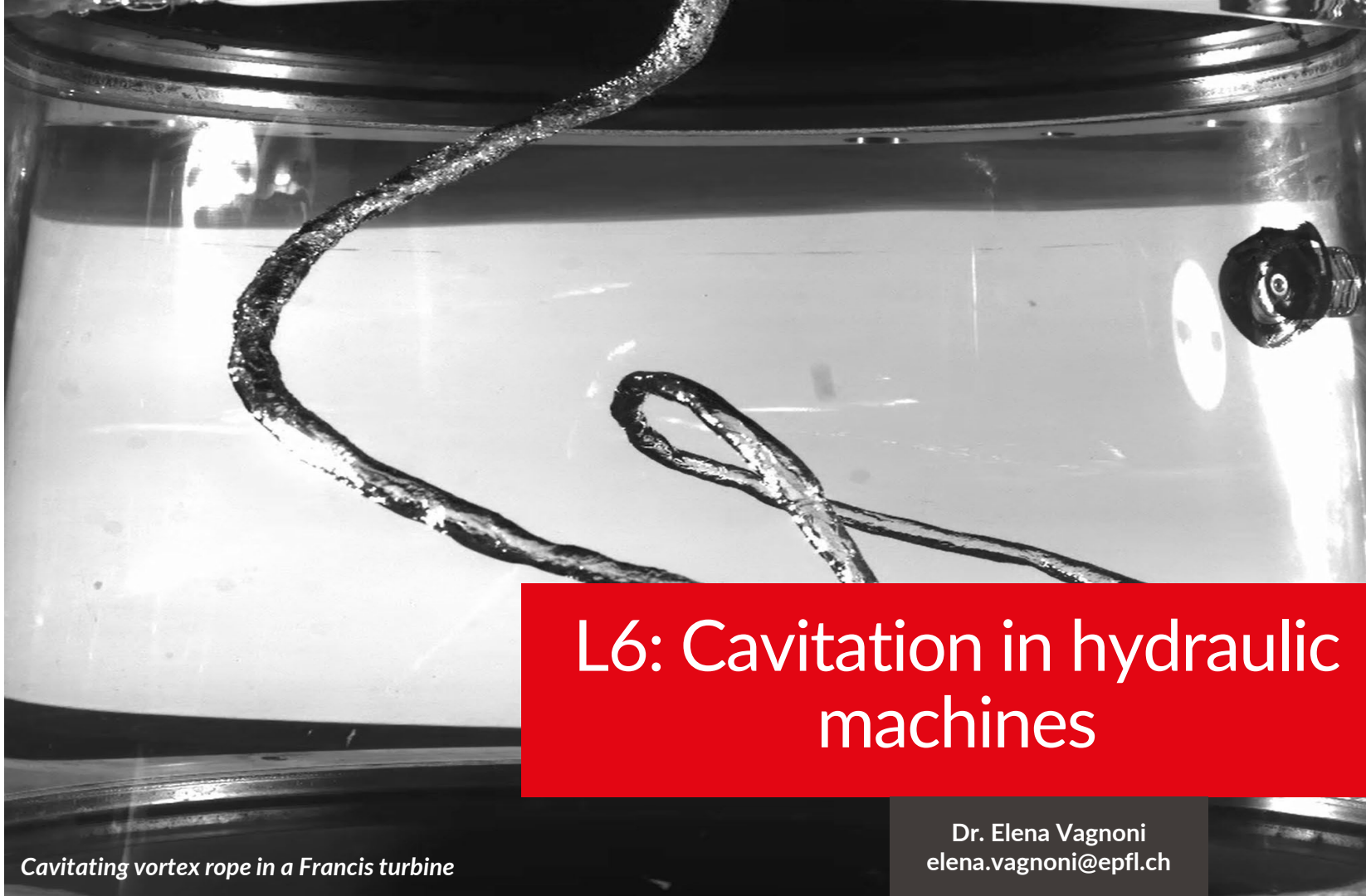




L6: Cavitation in hydraulic machines

Cavitating vortex rope in a Francis turbine

Dr. Elena Vagnoni
elena.vagnoni@epfl.ch

Topics of the lecture

Cavitation Characteristics

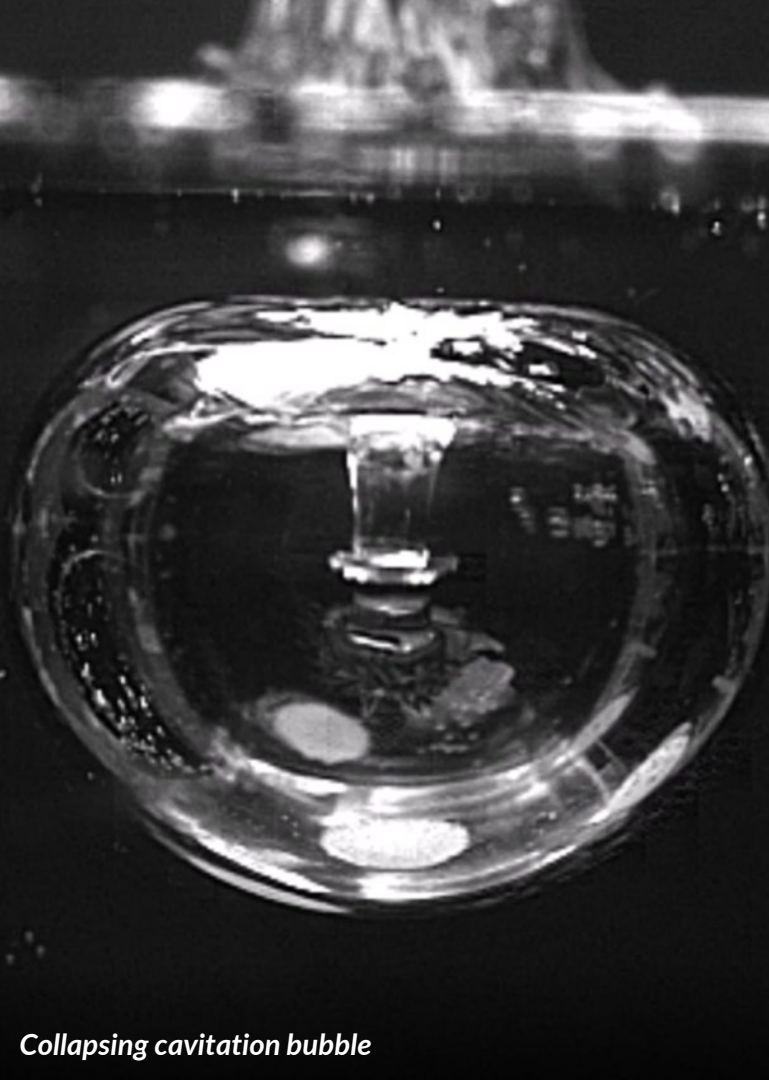
Setting level of hydraulic machines

Cavitation in hydraulic turbines

Cavitation in pumps

Cavitation Damages

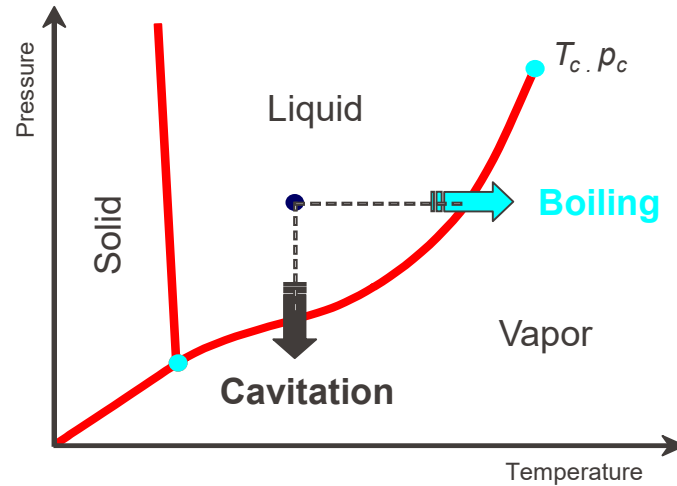
Cavitation Detection Techniques



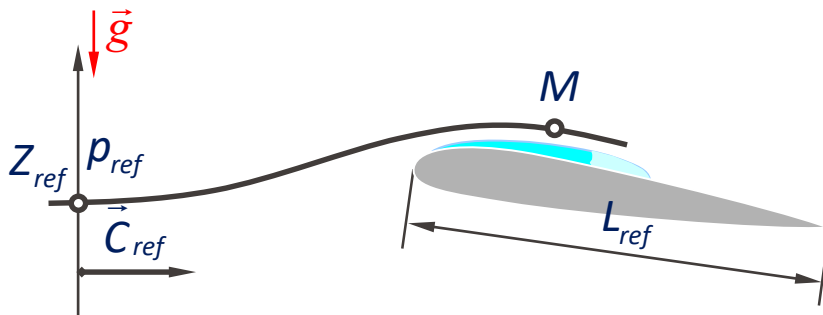
■ *Collapsing cavitation bubble*

Cavitation phenomenon

- Liquid Vaporization by **Pressure Decrease**
- Cavity Onset $p \leq p_{\text{vapor}}$



Cavitation Onset in a hydrofoil



Local Specific Energy Balance

$$\frac{p_{ref}}{\rho} + gZ_{ref} + \frac{C_{ref}^2}{2} = \frac{p}{\rho} + gZ + \frac{C^2}{2} + gH_r$$

Pressure Coefficient

$$C_p = \frac{p - p_{ref}}{\frac{1}{2}\rho C_{ref}^2} = 1 - \frac{C^2}{C_{ref}^2} + \frac{2gL_{ref}}{C_{ref}^2} \left(\frac{Z_{ref} - Z}{L_{ref}} \right) - \frac{2gH_r}{C_{ref}^2}$$

with
$$\frac{p - p_{ref}}{\rho} = \frac{C_{ref}^2 - C^2}{2} + g(Z_{ref} - Z) - gH_r$$

Nomenclature:

C (m/s)	Flow Absolute velocity
p (Pa)	Static Pressure
Z (m)	Elevation
g (m/s ²)	Gravity Acceleration
H (m)	Head
H_r (m)	Head losses
ρ (kg/m ³)	Water density

Cavitation onset in hydrofoil

$$Cp = 1 - \underbrace{\frac{C^2}{C_{ref}^2}}_{\text{Acceleration}} - \underbrace{e_r(\text{Re}, \text{Turbulence})}_{\text{Flow Dissipation}} + \underbrace{\frac{1}{Fr^2} \left(\frac{Z_{ref} - Z}{L_{ref}} \right)}_{\text{Level Setting}} \leq -\sigma$$

■ Cavitation Onset

- $p \leq p_v$
- $Cp \leq -\sigma$

■ Causes of Cavitation for a given level setting:

- Flow Acceleration
- Dissipation

• Cavitation Coefficient

$$\sigma = \frac{p_{ref} - p_v}{\frac{1}{2} \rho C_{ref}^2}$$

• Froude Number

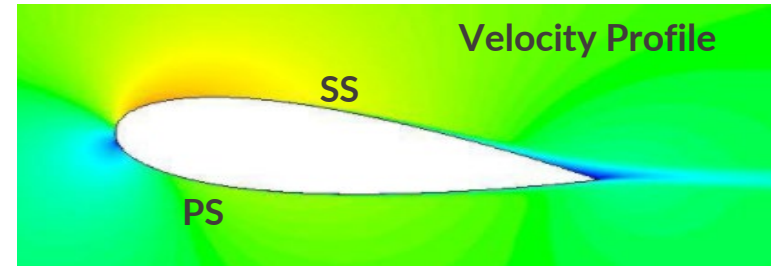
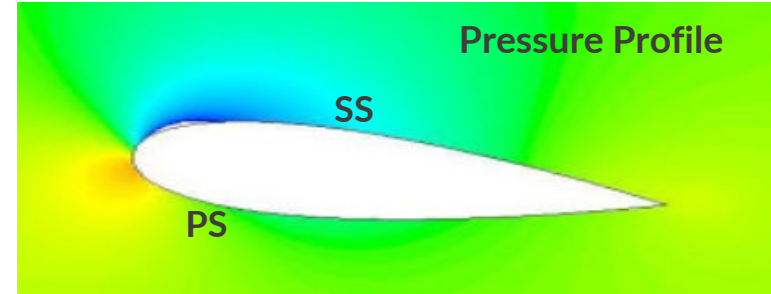
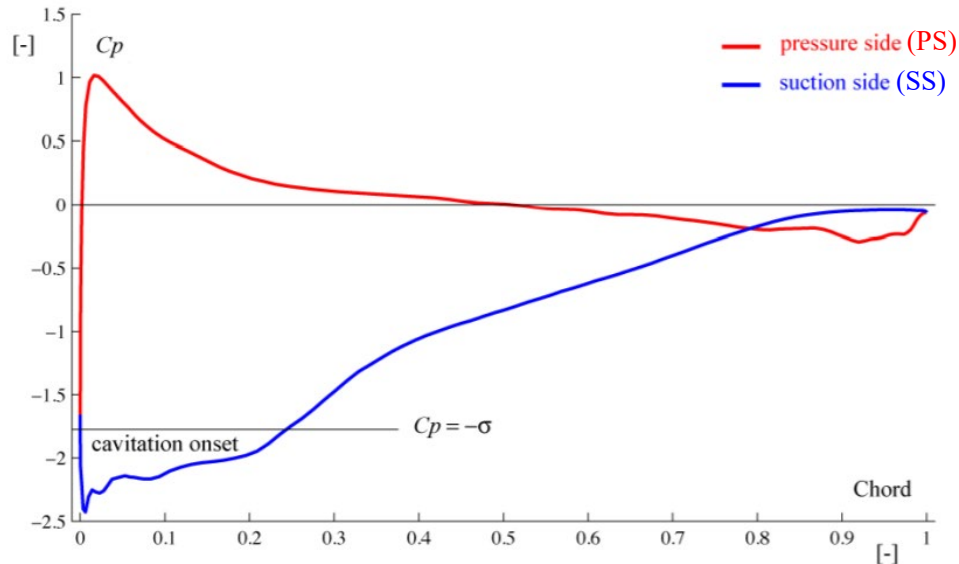
$$Fr = \frac{C_{ref}}{\sqrt{2gL_{ref}}}$$

• Reynolds Number

$$Re = \frac{C_{ref} \cdot L_{ref}}{\nu}$$

EPFL Causes of Cavitation Onset and Development

$$C_p = 1 - \underbrace{\frac{C^2}{C_{ref}^2}}_{\text{Acceleration}} - \underbrace{e_r(\text{Re}, \text{Turbulence})}_{\text{Flow Dissipation}} + \underbrace{\frac{1}{Fr^2} \left(\frac{Z_{ref} - Z}{L_{ref}} \right)}_{\text{Level Setting}} \leq -\sigma$$

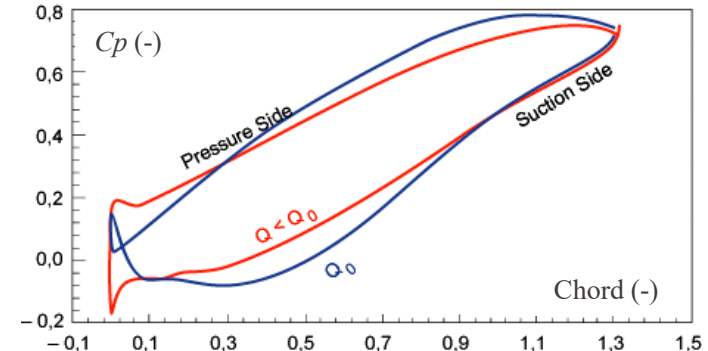
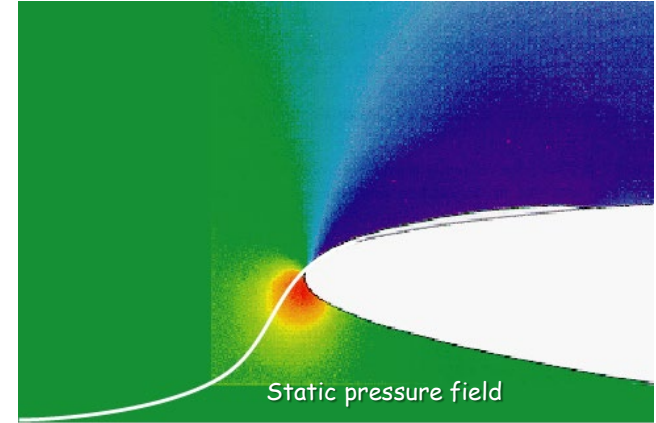


EPFL Causes of Cavitation Onset and Development

$$C_p = 1 - \underbrace{\frac{C^2}{C_{ref}^2}}_{\text{Acceleration}} - \underbrace{e_r(\text{Re}, \text{Turbulence})}_{\text{Flow Dissipation}} + \underbrace{\frac{1}{Fr^2} \left(\frac{Z_{ref} - Z}{L_{ref}} \right)}_{\text{Level Setting}} \leq -\sigma$$

- Flow Acceleration:
 - Flow Deviation
 - Flow Singularity: Sharp Edges, Vorticity Lines

Nomenclature:
 Q (m³/s) Discharge



Topics of the lecture

Cavitation Characteristics

Setting level of hydraulic machines

Cavitation in hydraulic turbines

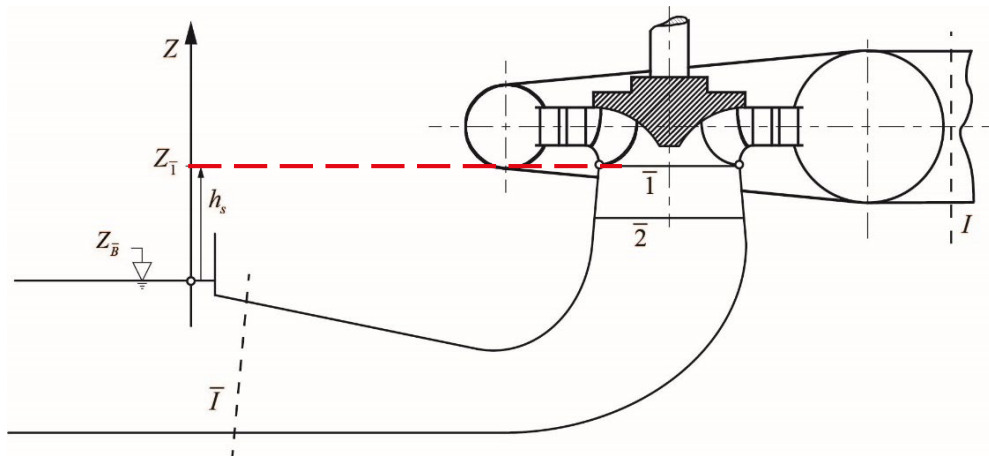
Cavitation in pumps

Cavitation Damages

Cavitation Detection Techniques



Power House Veytaux I (Switzerland)



Cut-view of a Francis turbine

■ IEC 60193 Definitions

- Specific Energy

$$E \triangleq gH_I - gH_{\bar{I}} > 0 \quad (\text{J} \cdot \text{kg}^{-1})$$

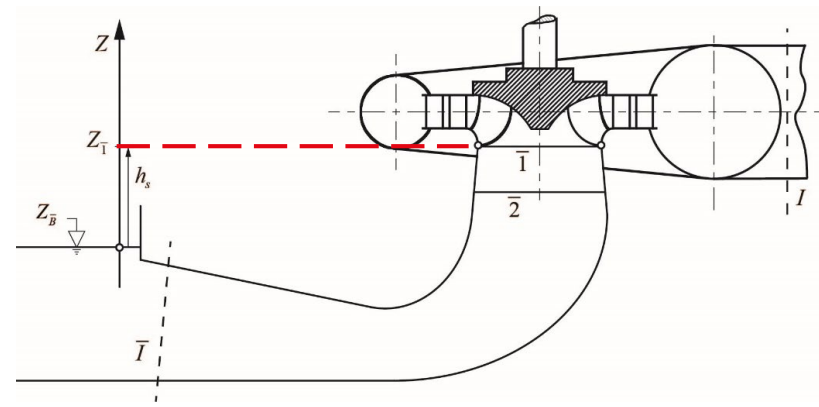
- Net Positive Suction Specific Energy

$$NPSE \triangleq gH_{\bar{I}} - \frac{p_v}{\rho} - gZ_{ref}$$

$$(\text{J} \cdot \text{kg}^{-1})$$

- Setting Level

$$h_s = Z_{ref} - Z_{\bar{B}} = Z_{\bar{1}} - Z_{\bar{B}}$$



■ With Respect to the Tail Water Level $\bar{B}$

- Specific Energy Balance $gH_{\bar{I}} = gH_{\bar{B}} + gH_{r\bar{I} \div \bar{B}}$

- For Turbines $gH_r \approx \frac{C_{\bar{I}}^2}{2}$ $gH_{\bar{B}} = \frac{p_a}{\rho} + gZ_{\bar{B}}$
- $NPSE \approx \frac{p_a}{\rho} - \frac{p_v}{\rho} - gh_s + \frac{C_{\bar{I}}^2}{2} = g \times NPSH$

- For Pumps $gH_r \approx \frac{C_{\bar{I}}^2}{2} \approx 0$
- $NPSE \approx \frac{p_a}{\rho} - \frac{p_v}{\rho} - gh_s = g \times NPSH$

- Thoma number: $\sigma_{TH} = \frac{NPSE}{E}$

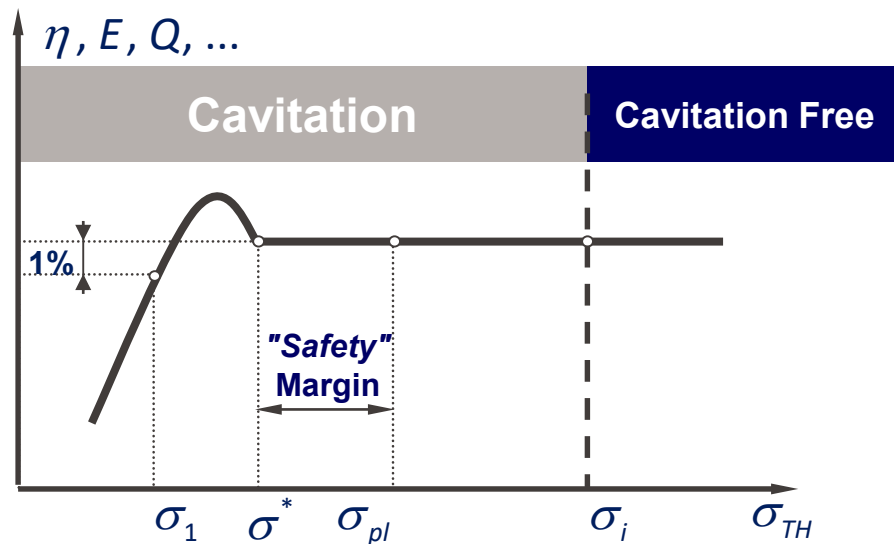
Nomenclature:

$NPSH$ (m) Net Positive Suction Head

Cavitation breakdown curve

Nomenclature:

- η (-) Efficiency
- σ_i (-) Inception: 1st Cavity !
- σ_{pl} (-) Plant Value
- σ^* (-) 1st Efficiency Change
- σ_1 (-) 1% Efficiency Drop



Topics of the lecture

Cavitation Characteristics

Setting level of hydraulic machines

Cavitation in hydraulic turbines

Cavitation in pumps

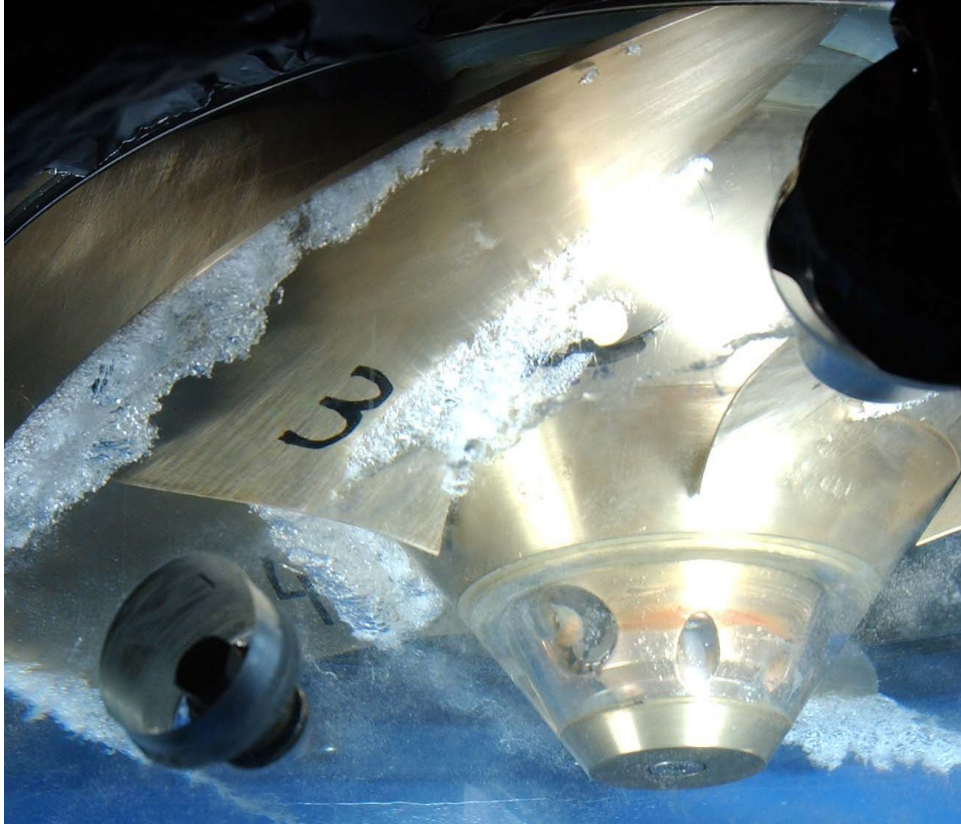
Cavitation Damages

Cavitation Detection Techniques



■ *Inter-blade cavitating vortex at deep-part load condition in a Francis turbine*

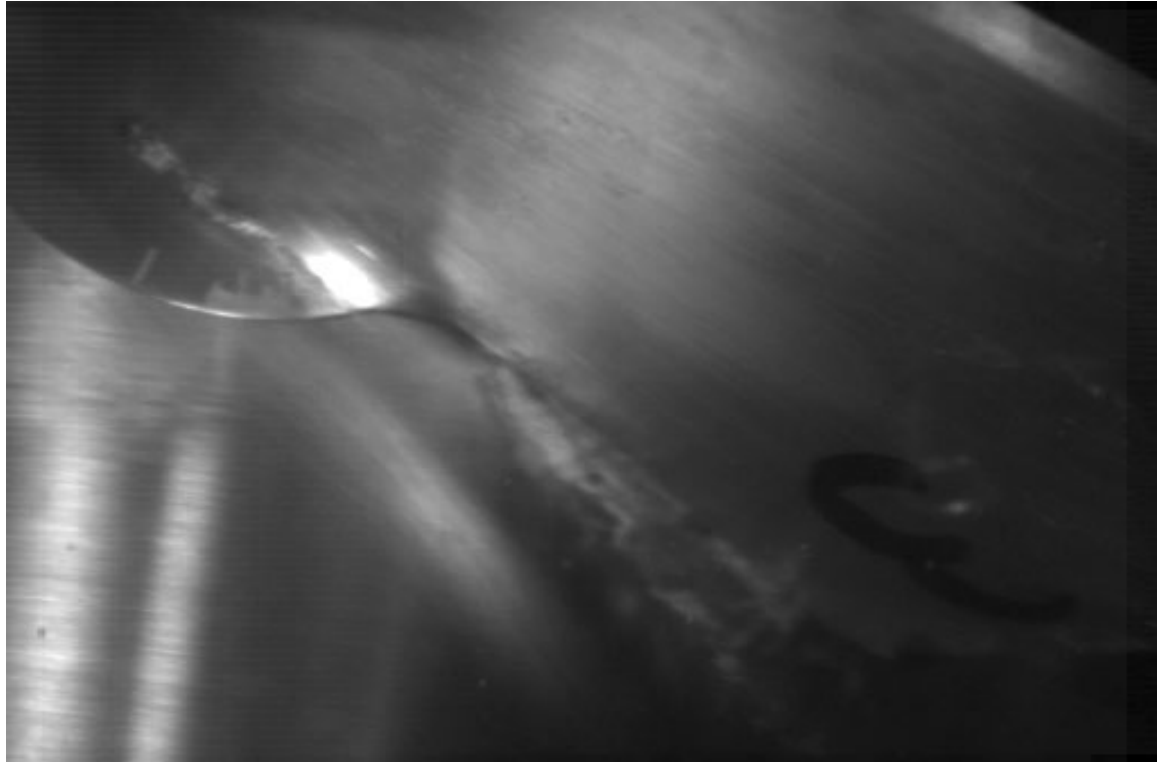
Cavitation in Axial Turbines



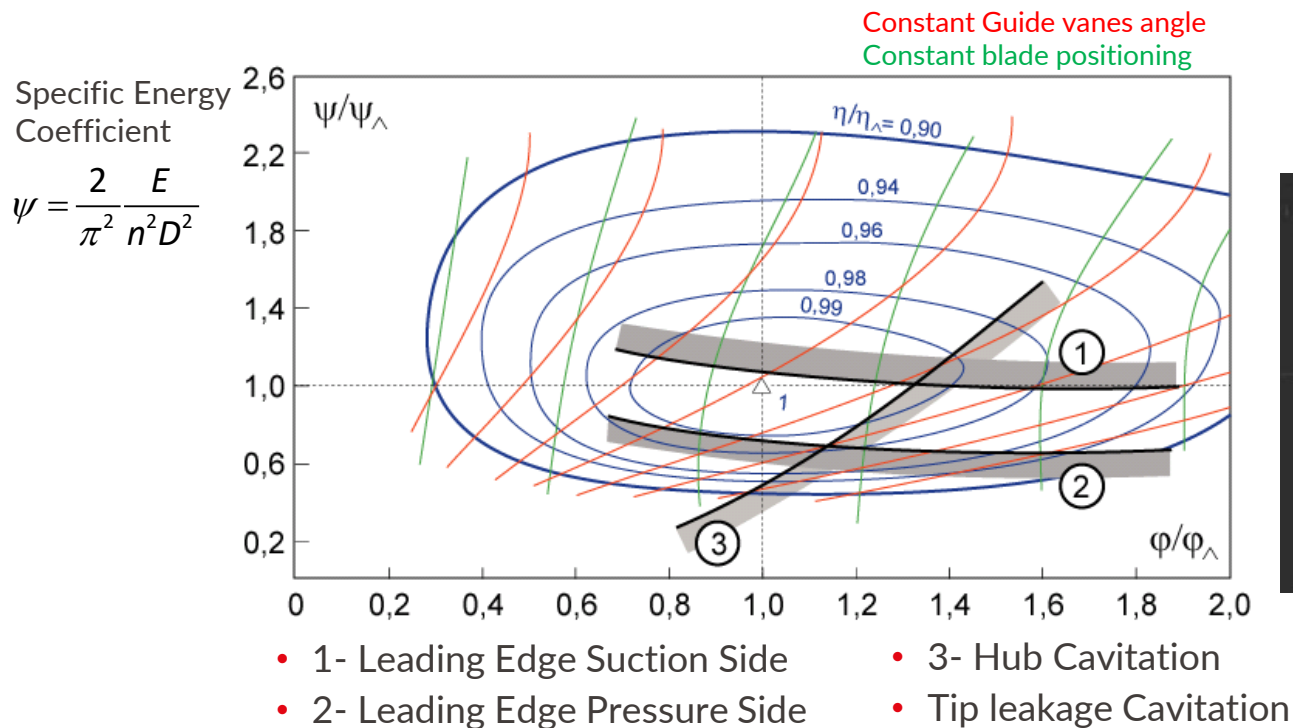
Kaplan Cavitation Test
Brilliant Model Acceptance Tests



Kaplan runner: double regulated hydraulic turbine (guide vanes and blades positioning can be adjusted)



Kaplan Turbine Cavitation mapping



Tip leakage Cavitation

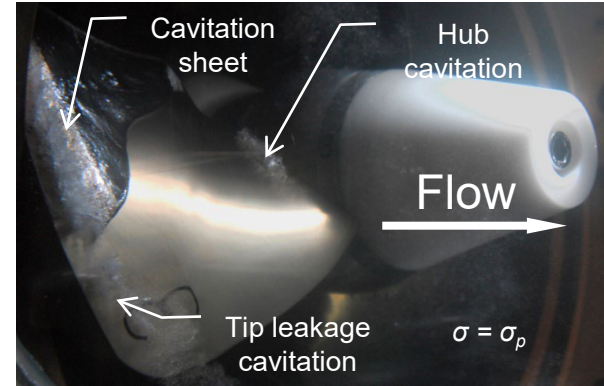
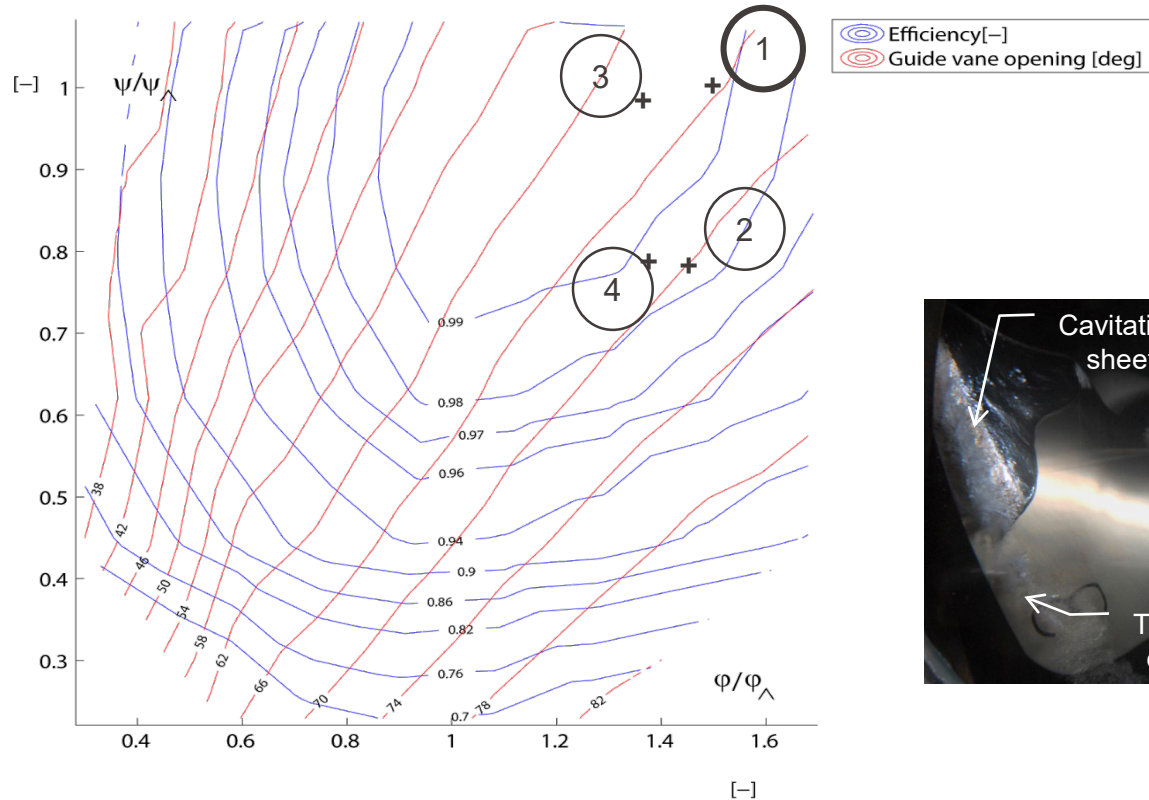


Nomenclature:

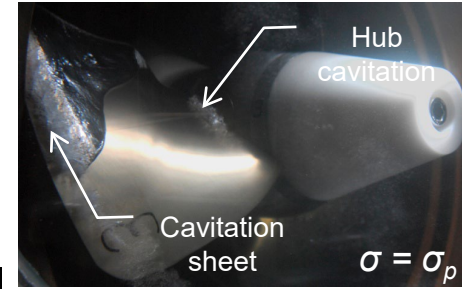
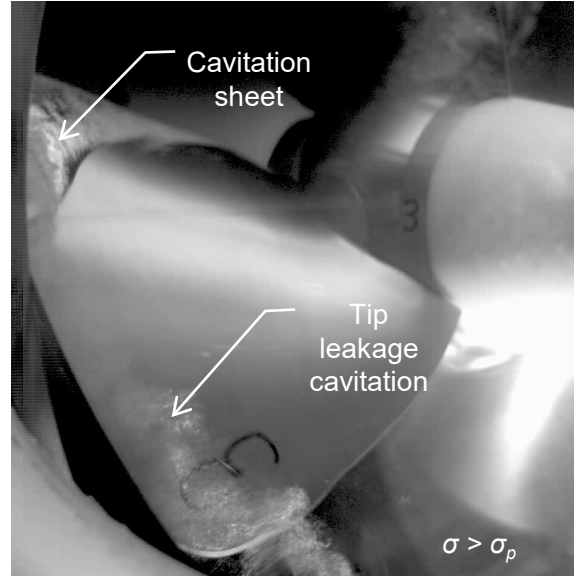
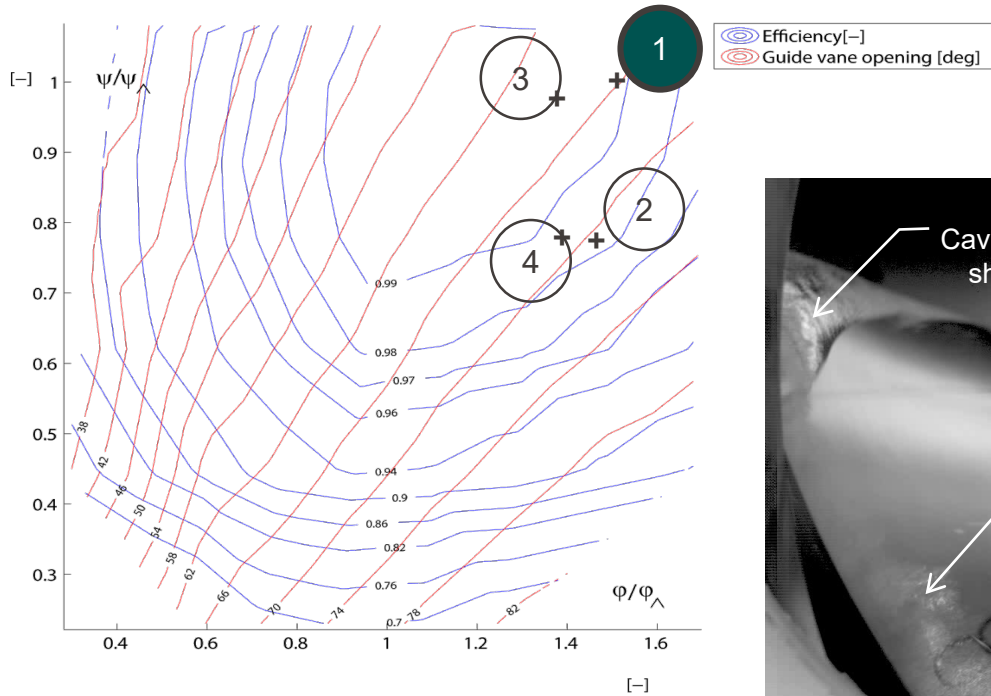
η_Δ (-) Efficiency at Best Efficiency Point (BEP)

Discharge Coefficient $\phi = \frac{4}{\pi^2} \frac{Q}{nD^3}$

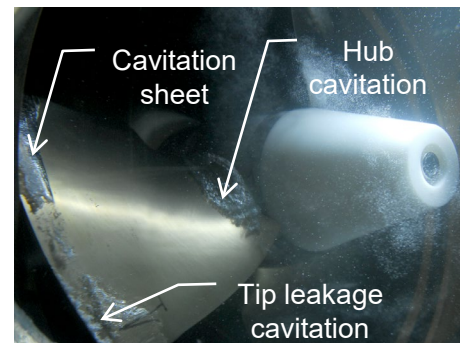
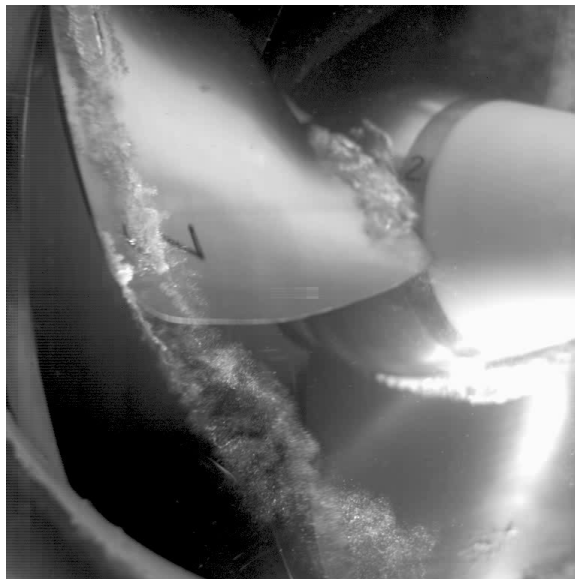
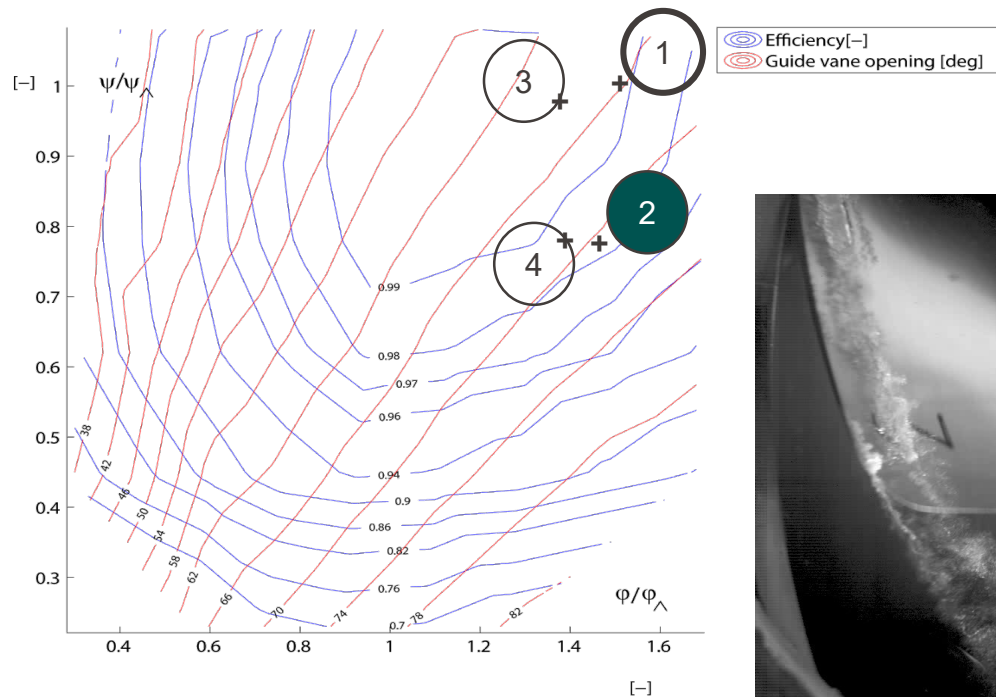
Bulb Turbine Cavitation Mapping



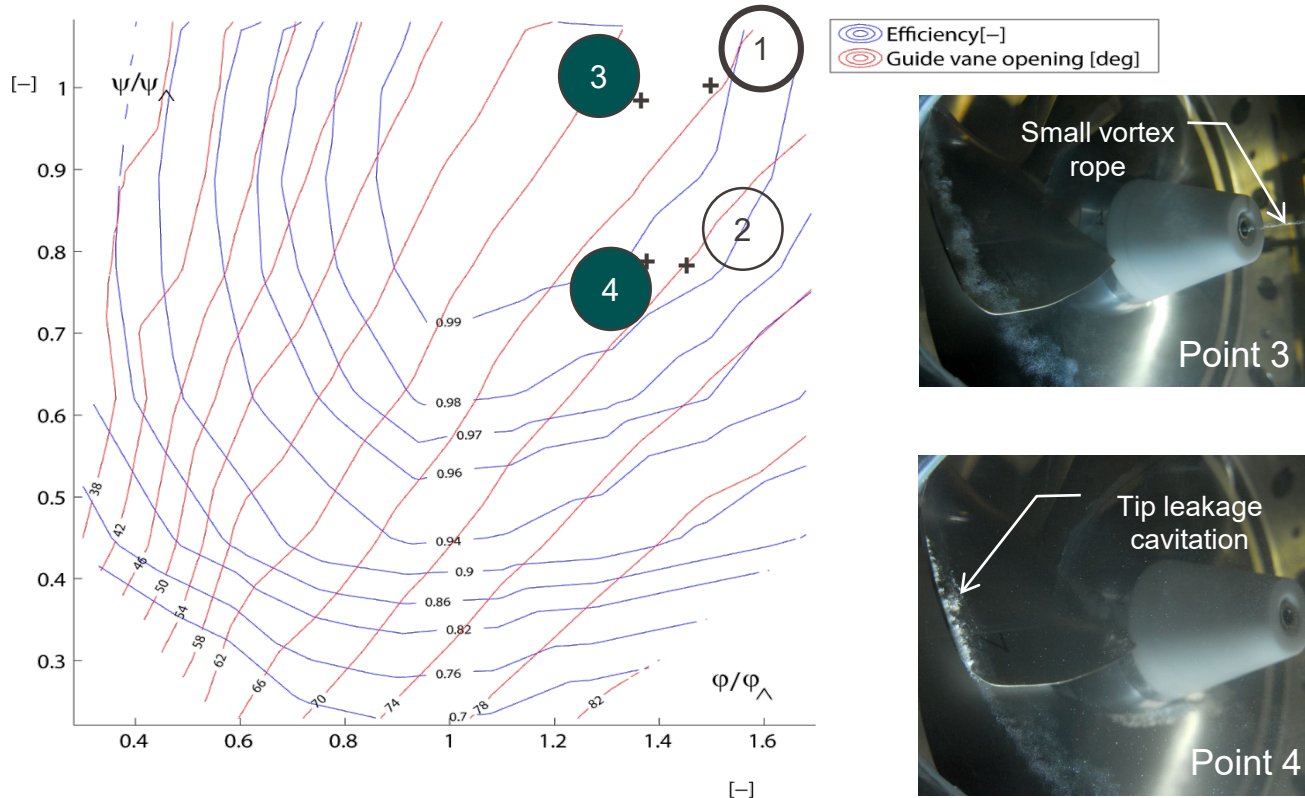
Bulb Turbine Cavitation Mapping



Bulb Turbine Cavitation Mapping



Bulb Turbine Cavitation Mapping



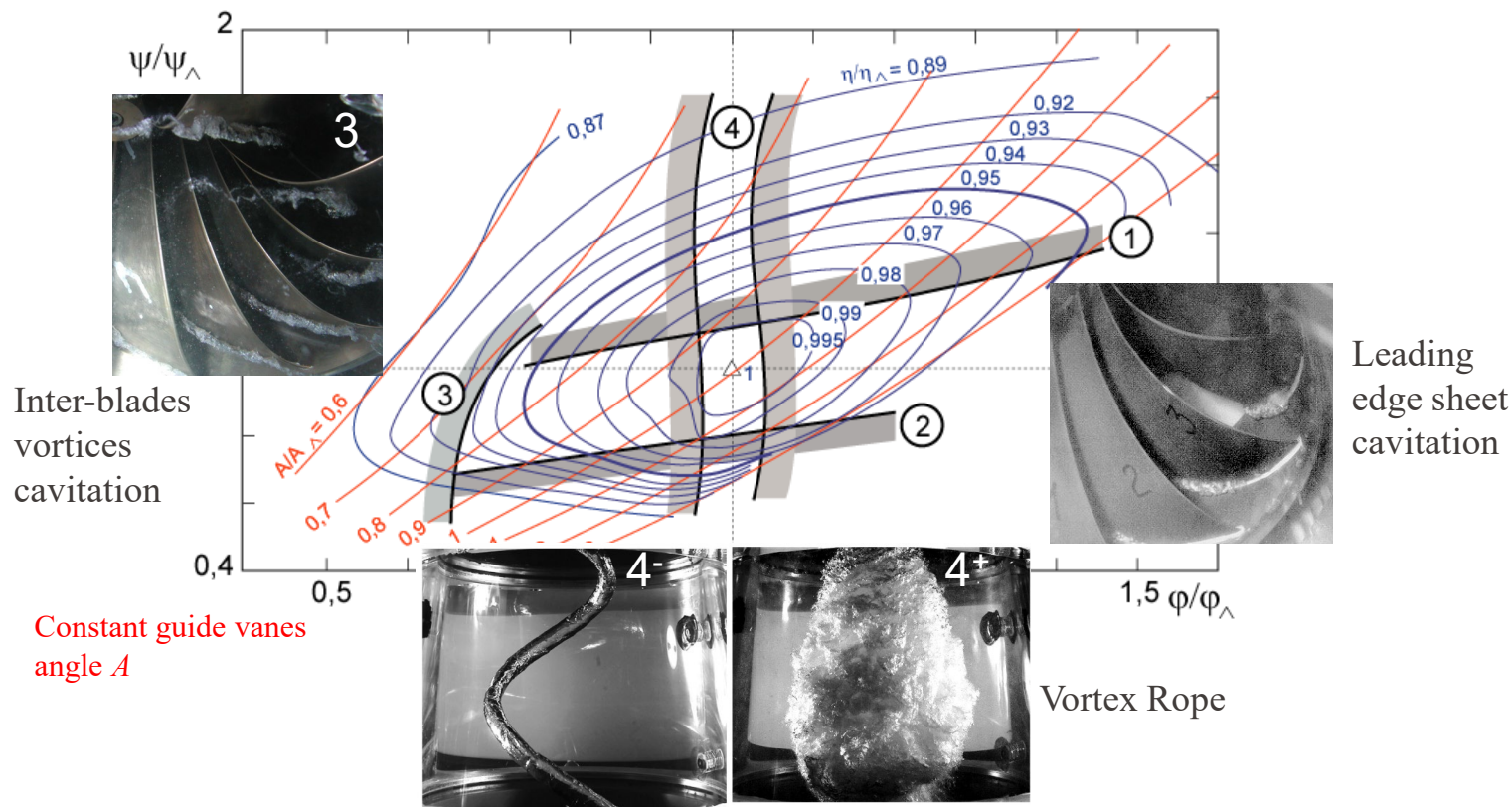
Cavitation in Francis Turbines

Cavitating
vortex rope



Francis runner

Francis Turbine Cavitation Mapping



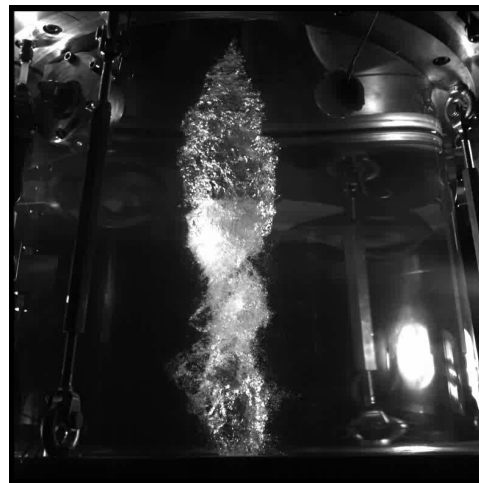
Francis Turbine Cavitation Vortex Rope

Part Load Condition



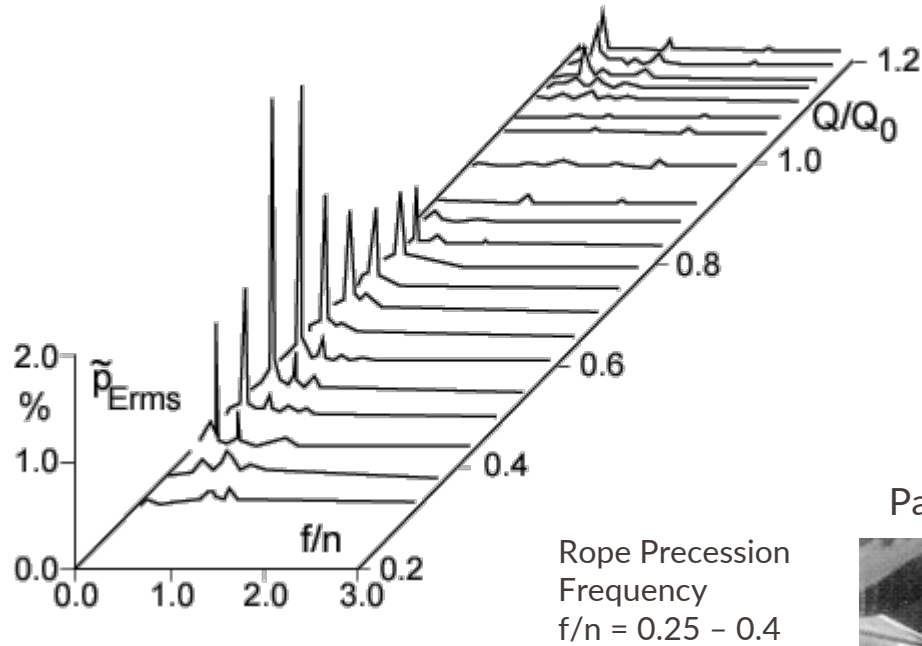
$$Q < Q_0$$

Full Load Condition

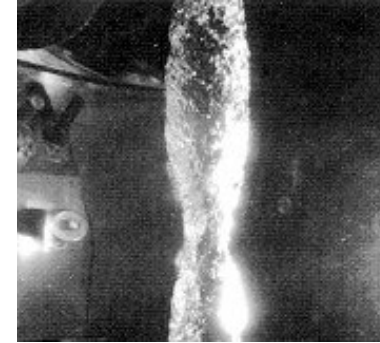


$$Q > Q_0$$

Francis Turbine Cavitation Vortex Rope

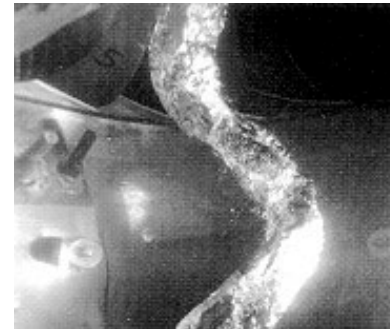


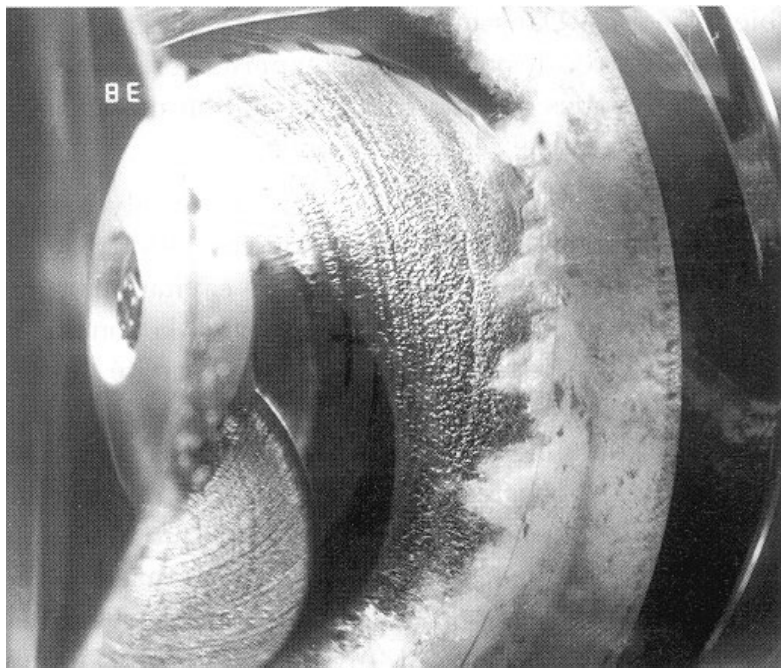
Full Load Condition



Axisymmetric vortex

Part Load Condition





LH₂ Inducer Cavitation Development

Topics of the lecture

Cavitation Characteristics

Setting level of hydraulic machines

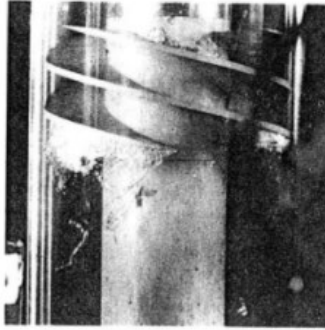
Cavitation in hydraulic turbines

Cavitation in pumps

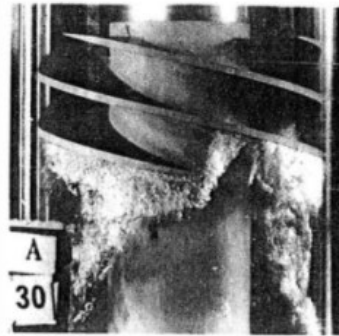
Cavitation Damages

Cavitation Detection Techniques

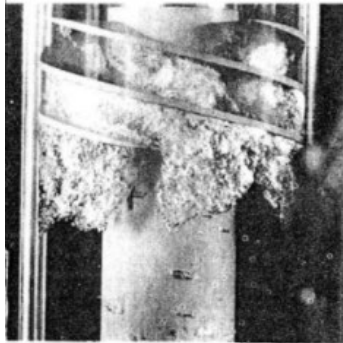
Cavitation in Axial pumps



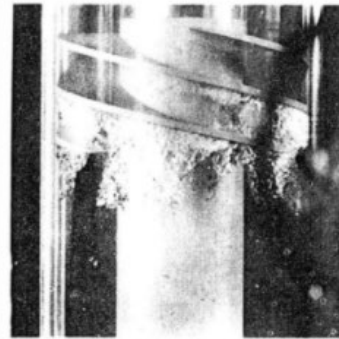
$k = 0.15$



$k = 0.13$

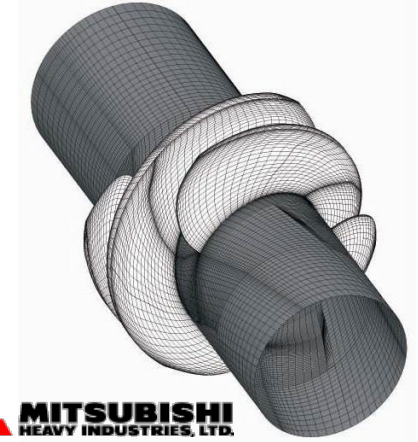


$k = 0.03$

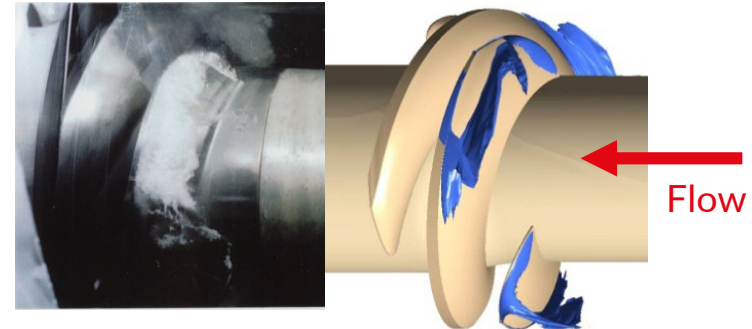


$k = 0.07$

Cavitating structures in a rocket inducer, Acosta (1958).

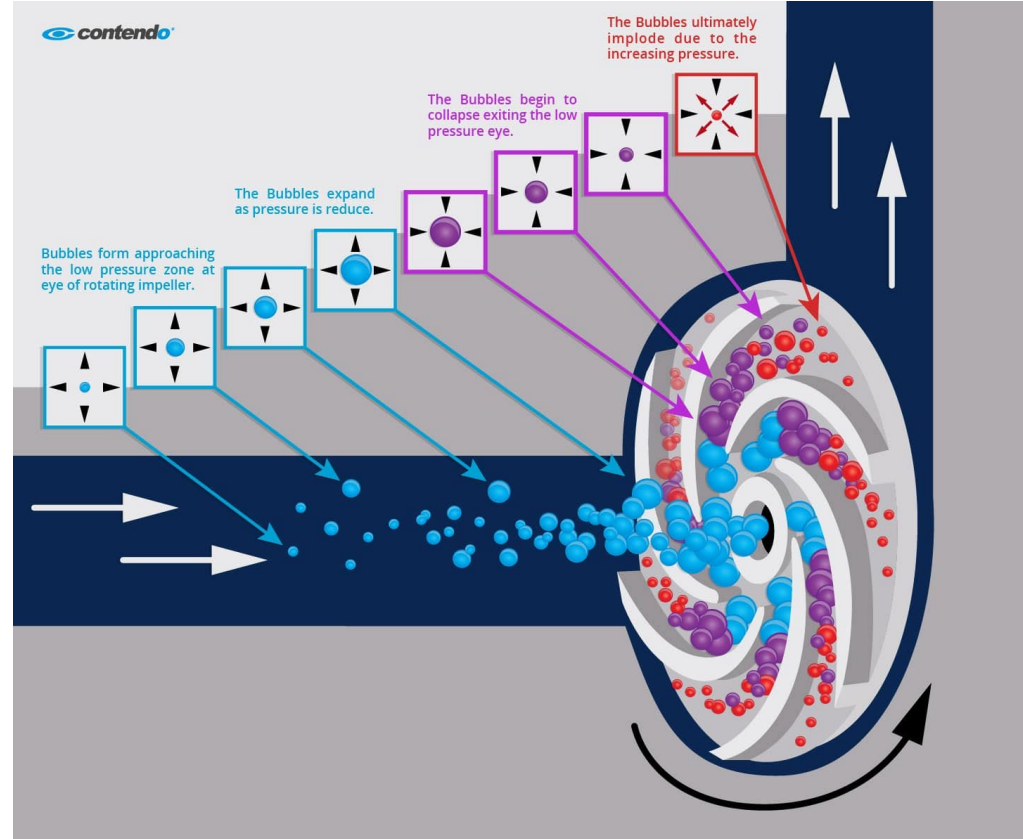
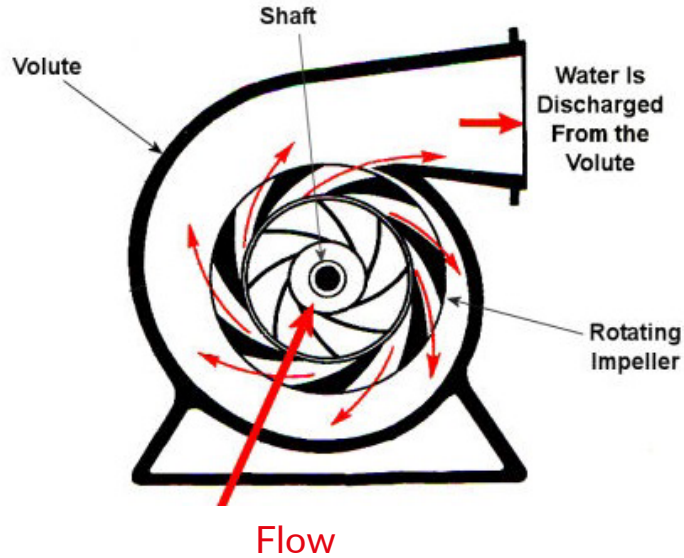


MITSUBISHI
HEAVY INDUSTRIES, LTD.



Industrial inducer, BOUZIAD (1994)

Cavitation in Centrifugal pumps



Centrifugal pump, Philip
J. O'Keefe (2018)

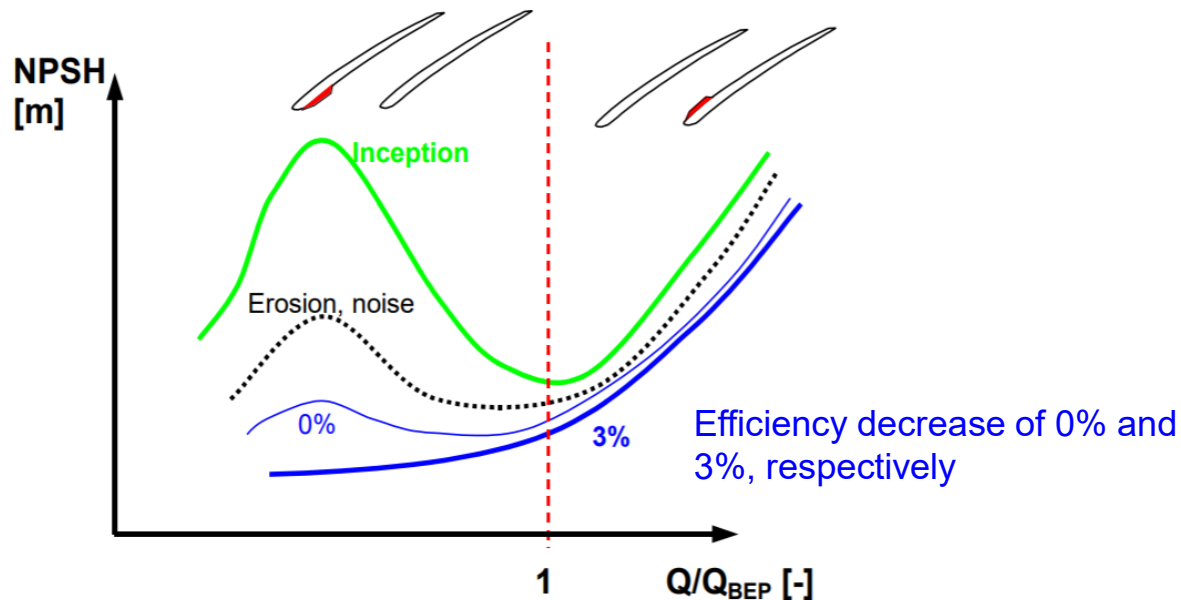
Cavitation in Pumps: "Bucket" Curves

Main Cavitation types:

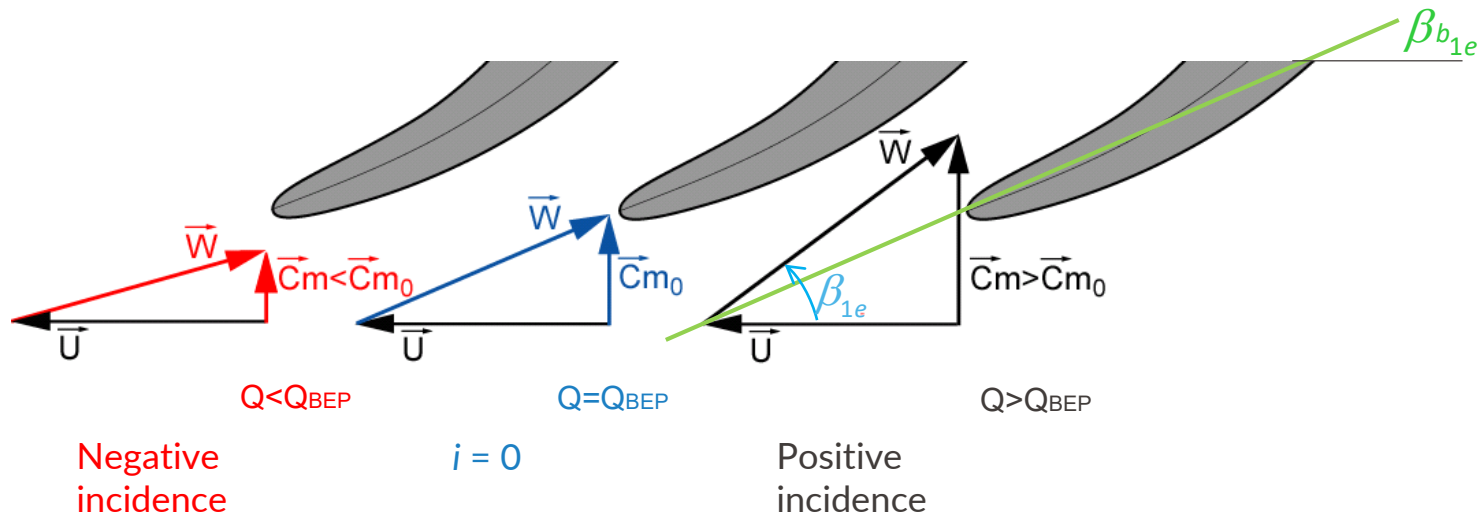
- Suction Cavitation
- Discharge Cavitation

Main Cavitation structures in pumps:

- Sheet Cavitation at the leading edge (suction side or pressure side)
- Travelling bubbles
- Vortical structure



Relative flow angle incidence

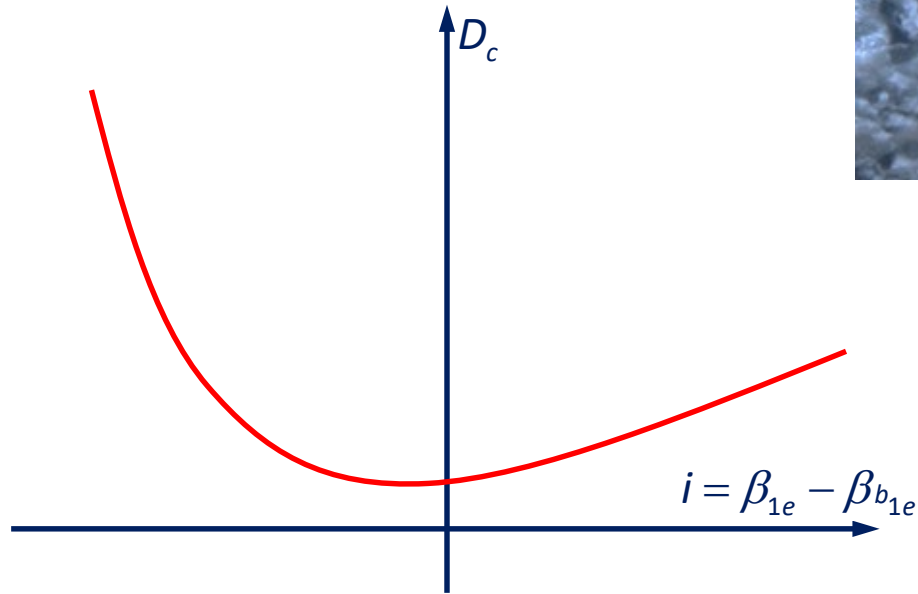


Nomenclature:

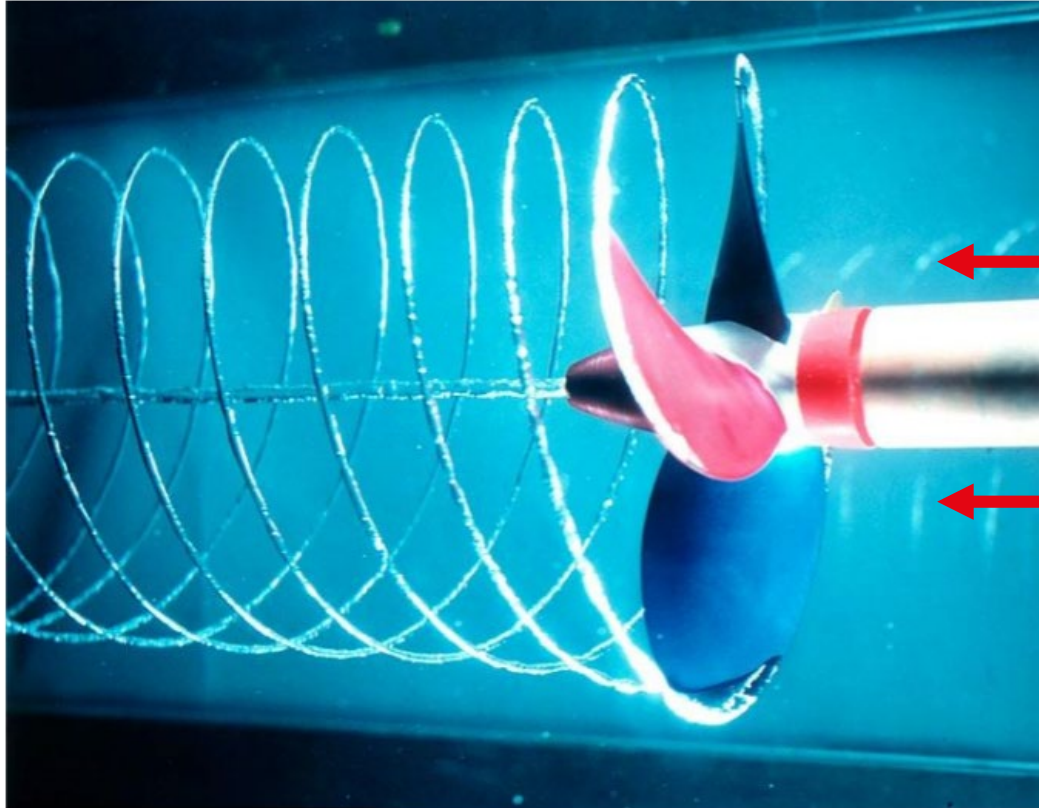
$\beta_{b,1e}$ (°)	Blade Pitch Angle
β_{1e} (°)	Relative Flow Angle
W (m/s)	Relative Flow Velocity
U (m/s)	Tangential Flow Velocity
Cm (m/s)	Meridional component of the flow absolute velocity

Flow Incidence: $i = \beta_{1e} - \beta_{b_{1e}}$

Relative flow angle incidence Effect on cavitation onset

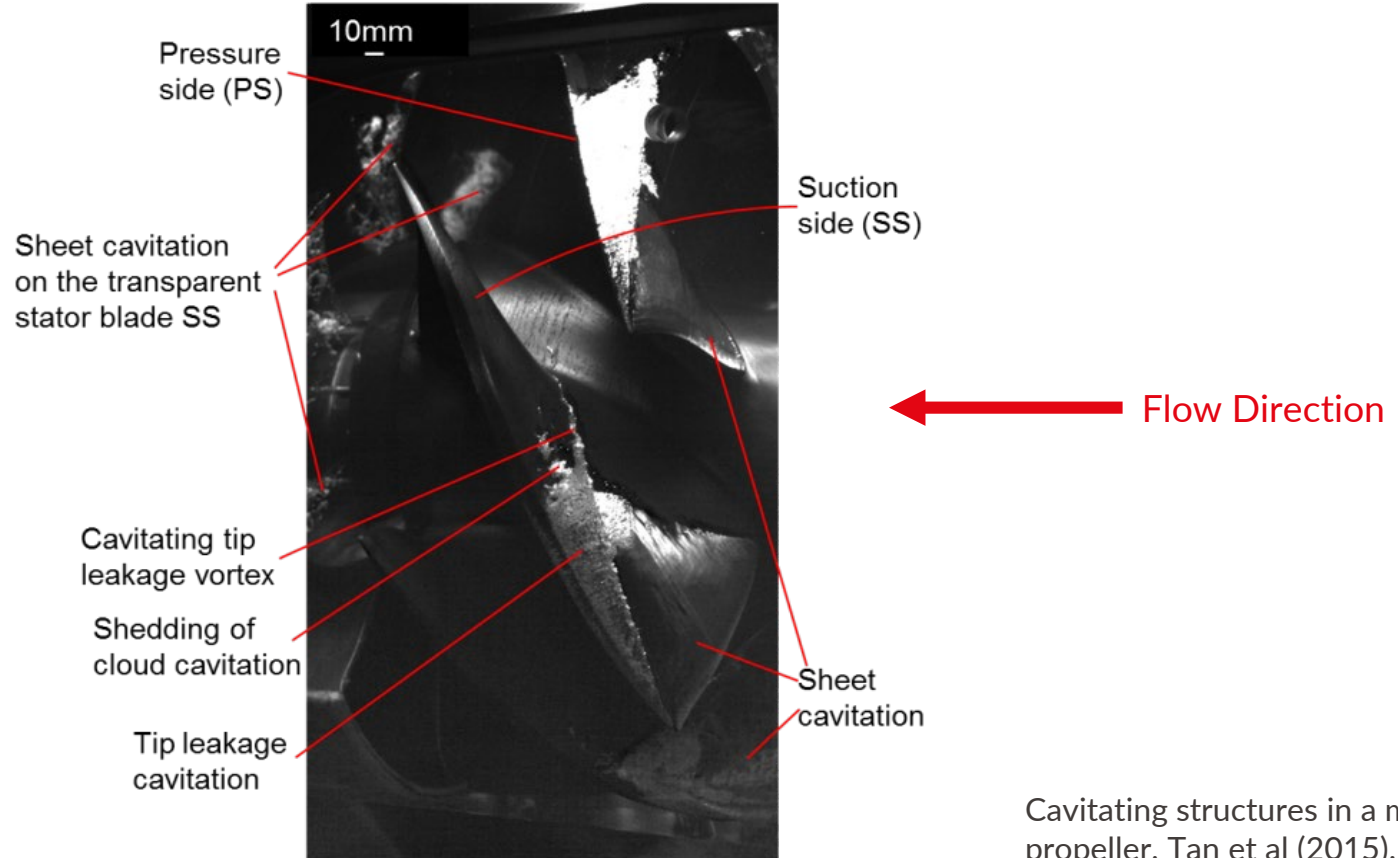


Cavitation in marine propellers



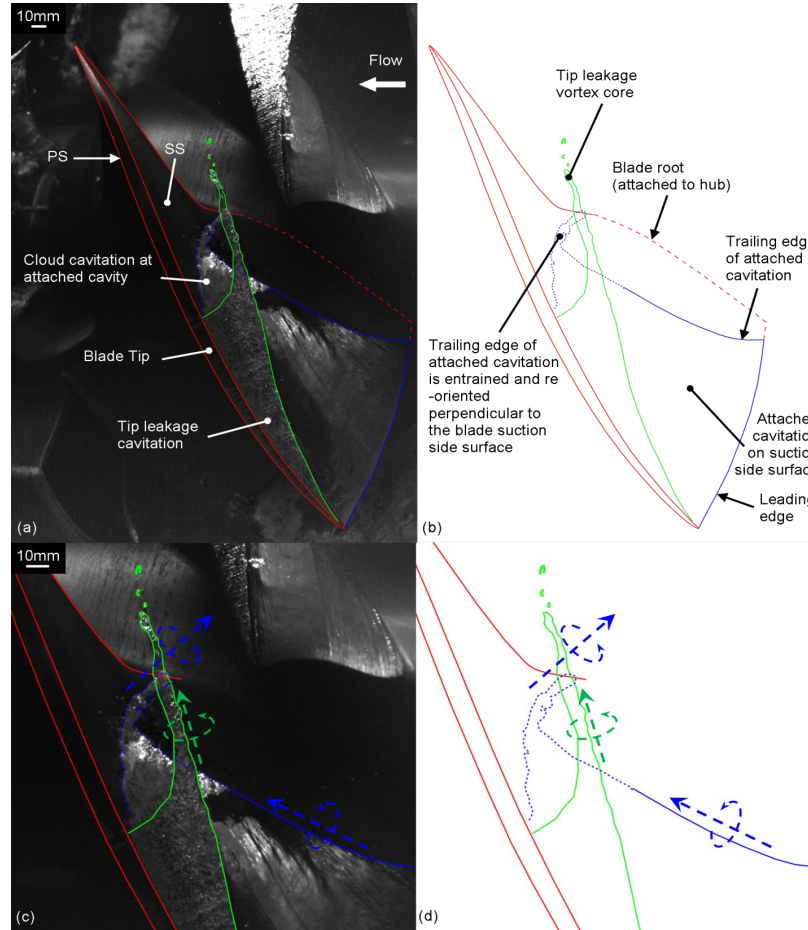
Flow Direction

Cavitation in marine propellers



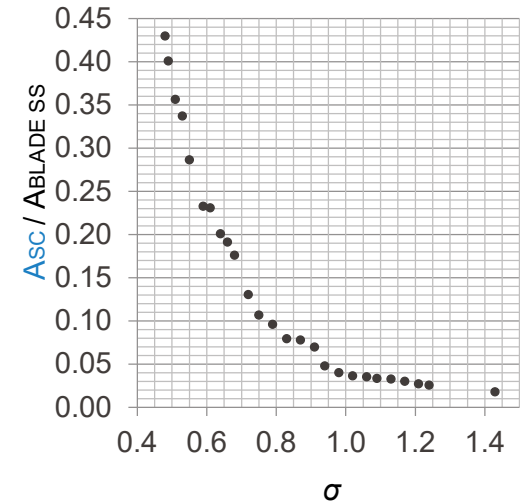
Cavitation in marine propellers

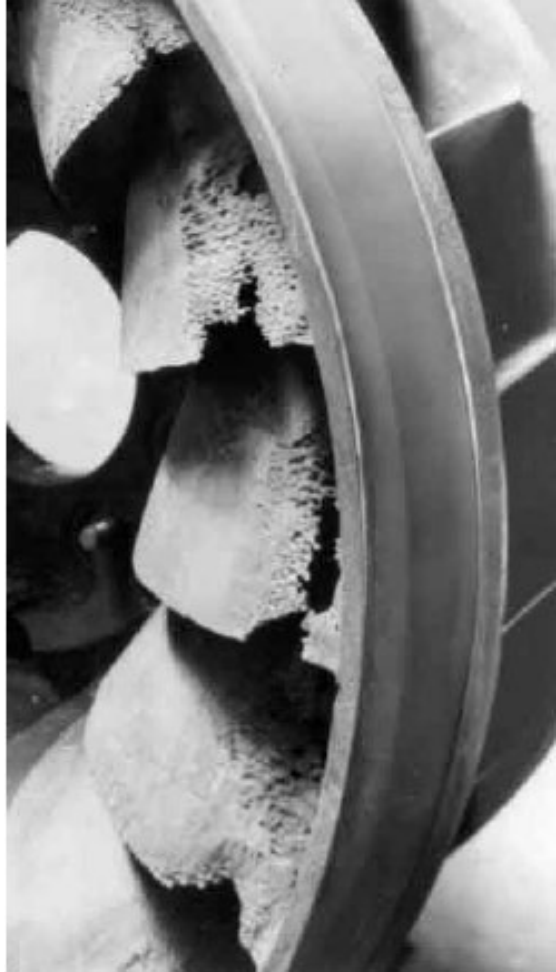
Tip leakage cavitation
outlined in green.
Sheet cavitation outlined
in blue



Cavitating structures
in a marine propeller,
Tan et al (2015).

Sheet cavitation Area





Topics of the lecture

Cavitation Characteristics

Setting level of hydraulic machines

Cavitation in hydraulic turbines

Cavitation in pumps

Cavitation Damages

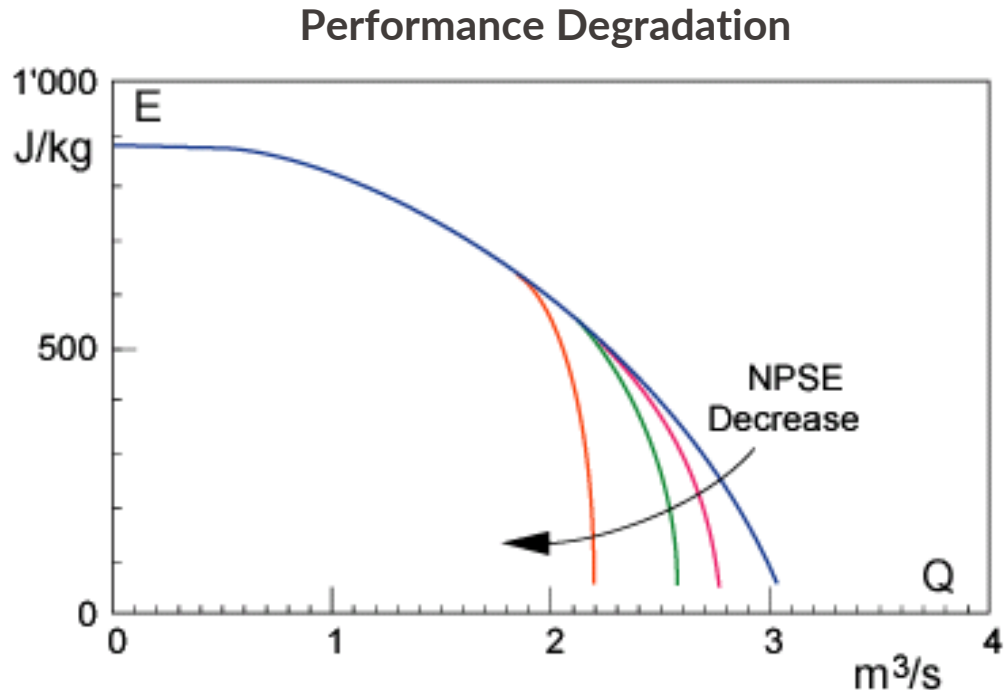
Cavitation Detection Techniques



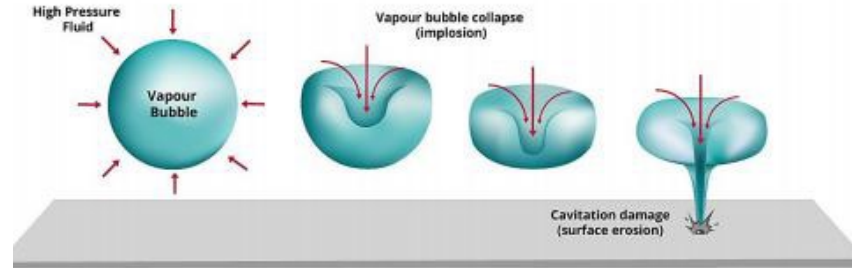
Eroded Francis turbine blades

Cavitation Damages

- Erosion
- Fatigue
- Noise and Vibrations
- Operation Instability
- Performance Alteration
 - Efficiency
 - Head/Discharge
 - Runaway Speed

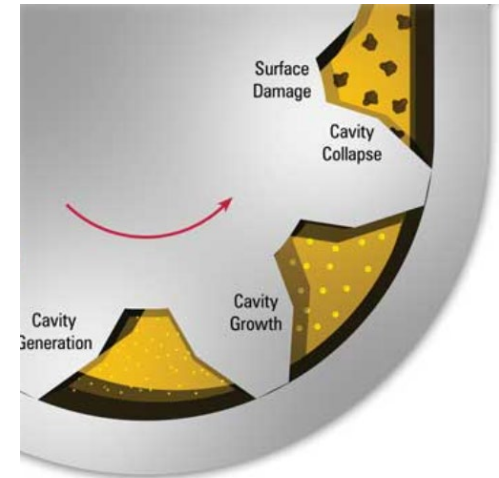


Cavitation Damages



Cavitation wear process:

- **Incubation period** - microcracks nucleate around grain boundaries and inclusions due to both elastic and plastic deformation of the surface.
- **Accumulation period** - crack growth proceeds in relation to the degree of splitting, shearing and tearing action on the material.
- **Steady-state period** - the rate of crack nucleation and propagation becomes constant for the remainder of the exposure time.



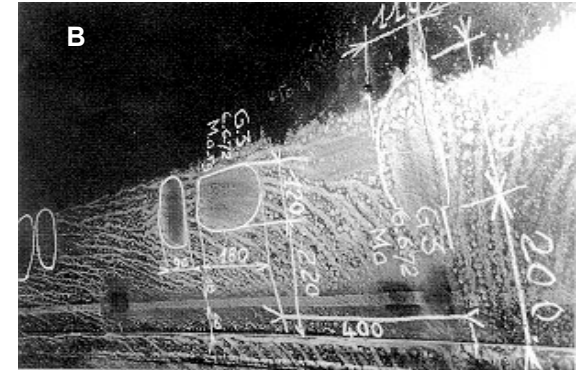
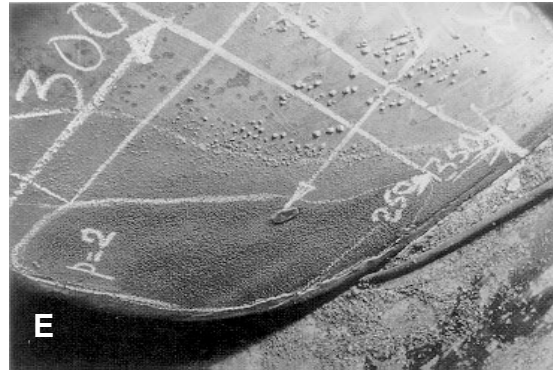
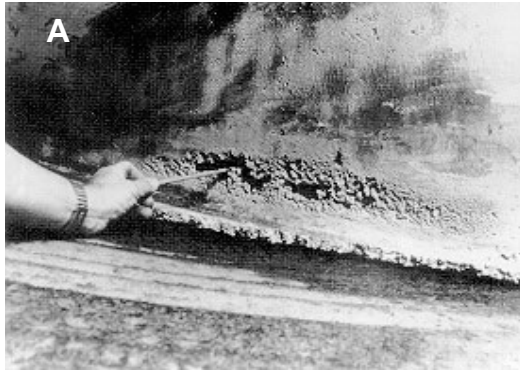
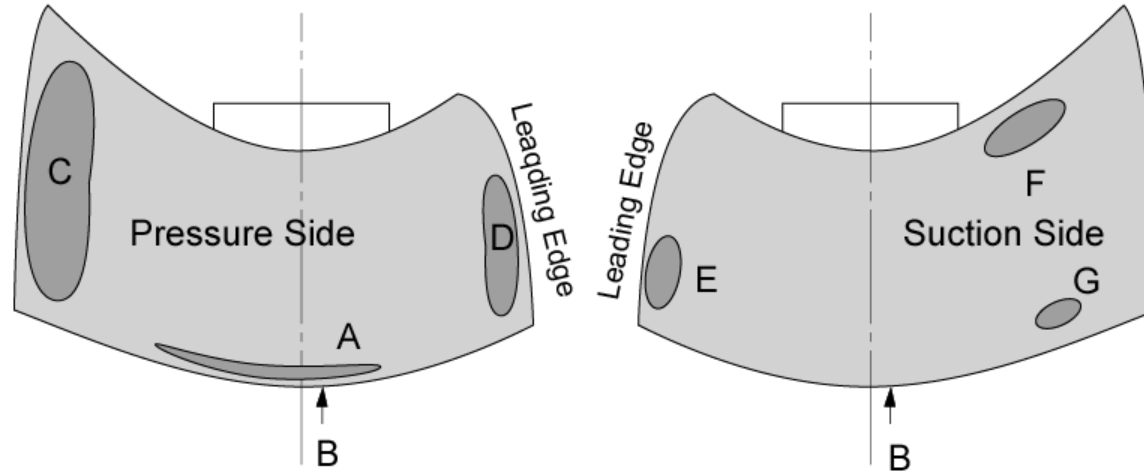
Cavitation in axial turbines: Main Issues

- Erosion Risk
 - Leading Edge Cavitation
 - Tip Vortex
 - Discharge ring cavitation erosion
- Efficiency Alteration
 - Hub Cavitation



Example: Discharge ring cavitation erosion

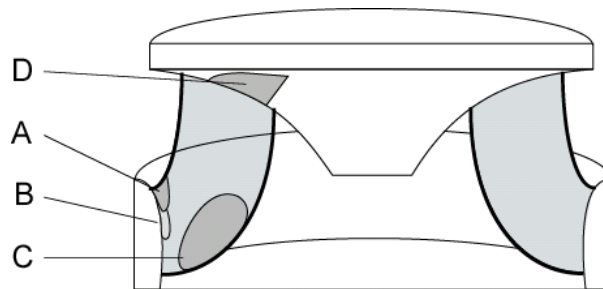
Axial turbine Blades Erosion



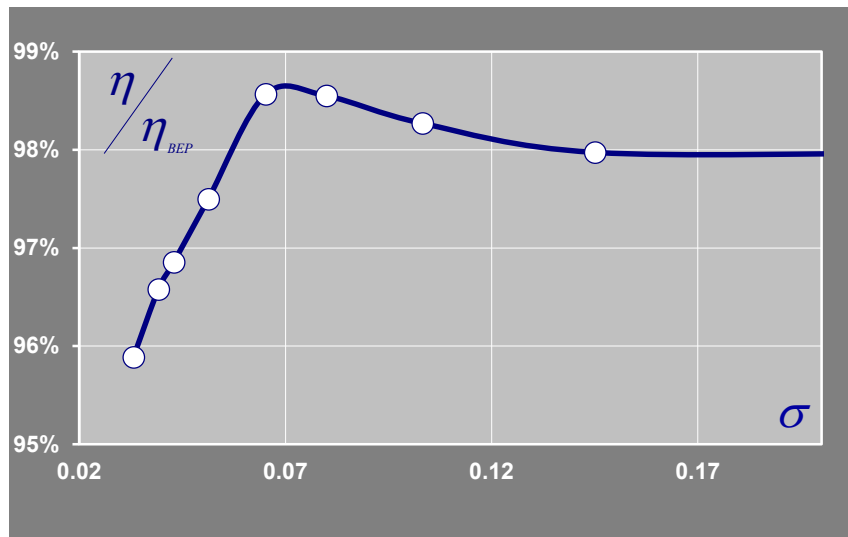
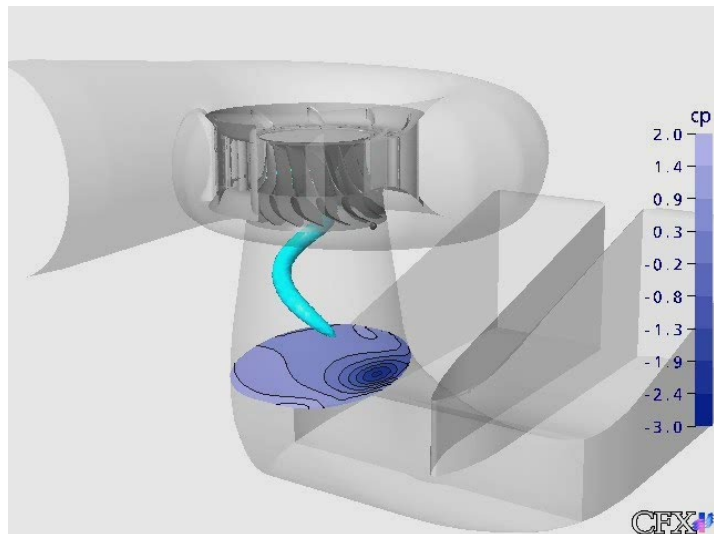
Cavitation in Francis turbines: Main Issues

- Efficiency Alteration
 - Traveling Bubble Cavitation
 - Vortex Rope
- Erosion Risk
 - Leading Edge Cavitation
- Operation Instability
 - Vortex Rope
 - Inter-Blade Vortices
- Fatigue

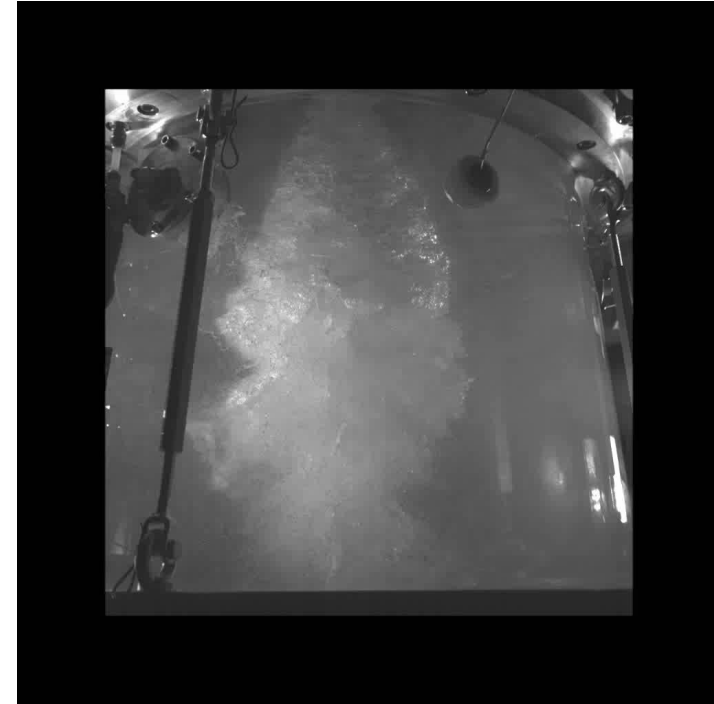
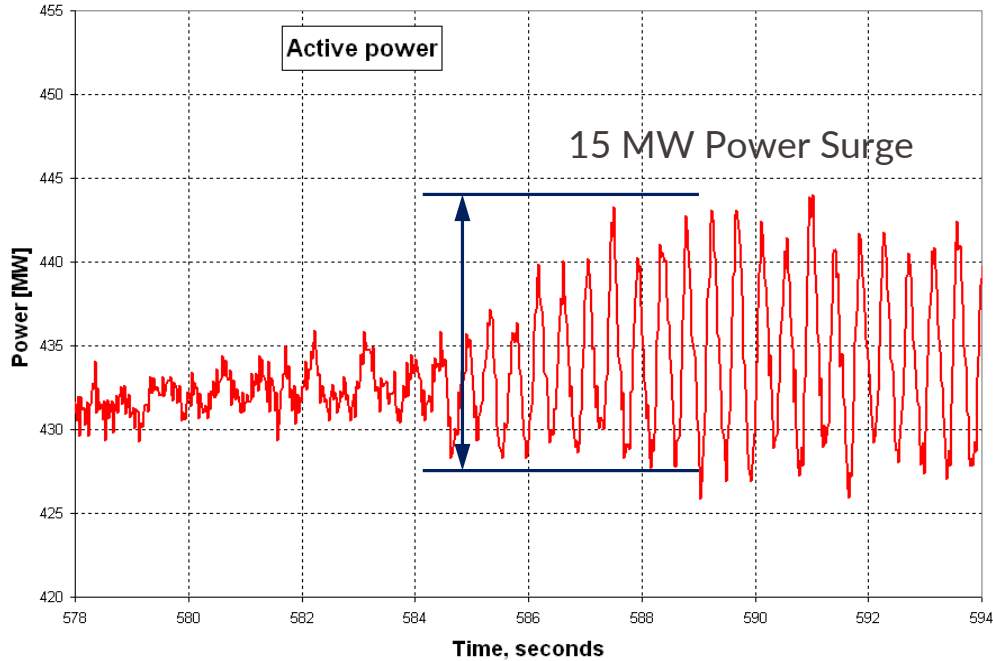
Francis turbine Blades Erosion



ESCALER X., EGUSQUIZA E., MEBARKI T., AVELLAN F., FARHAT M.; "Field Assessment of Cavitation Detection Methods in Hydropower Plants", Proc. of the 21st IAHR Symposium on Hydraulic Machinery and Systems, Lausanne, Suisse, September 9-12, 2002, pp. 483-490.



Francis turbine Surge

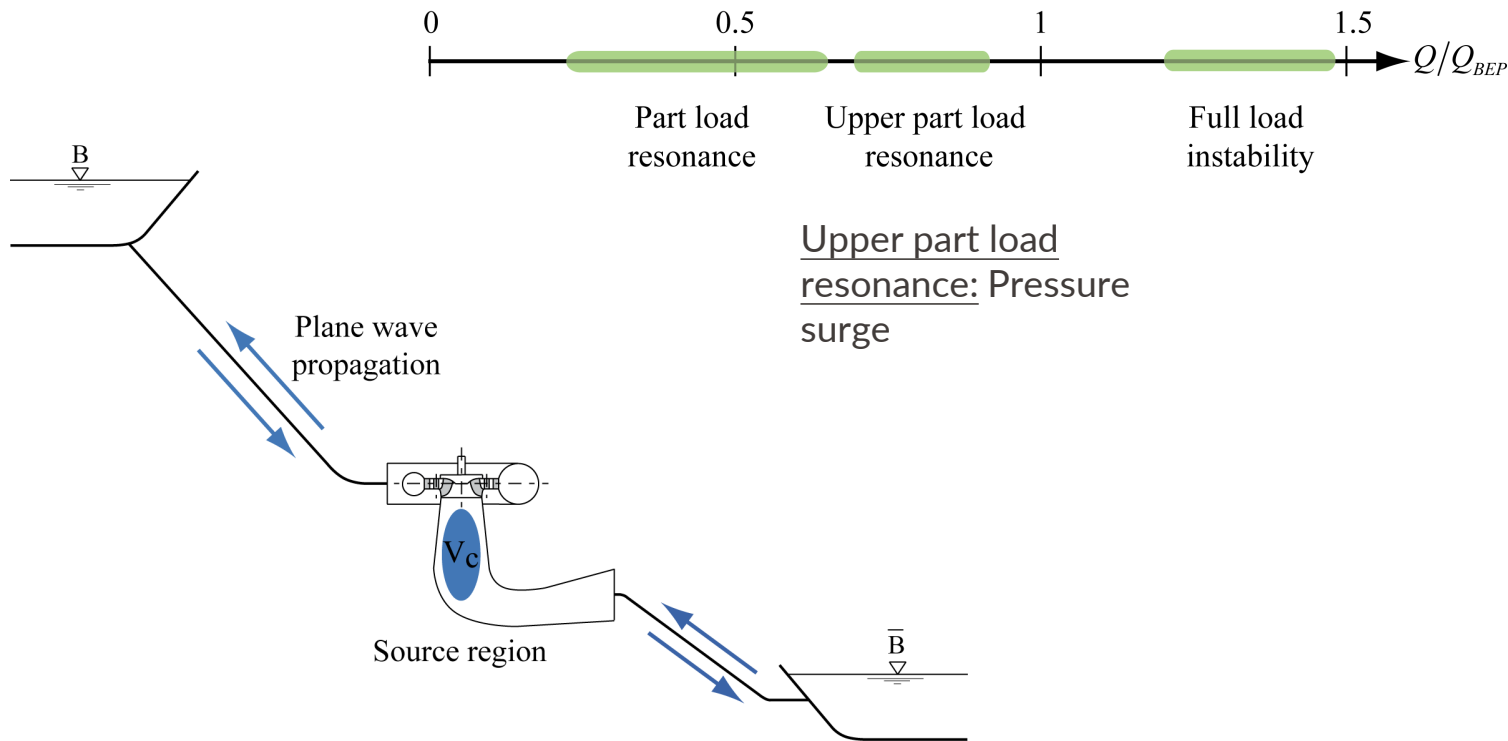


J. KOUTNIK, Ch. NICOLET, G.A. SCHOHL, F. AVELLAN, "Overload Surge Event in a Pumped-Storage Power Plant",
Proceedings of the 23rd IAHR Symposium on Hydraulic Machinery and Systems, Yokohama, Japan, Oct. 2006

Francis turbine Surge

Part load resonance: Pressure surge induced by the helical vortex rope precession frequency.

Full load instability: Axial pulsations of the cavitation.



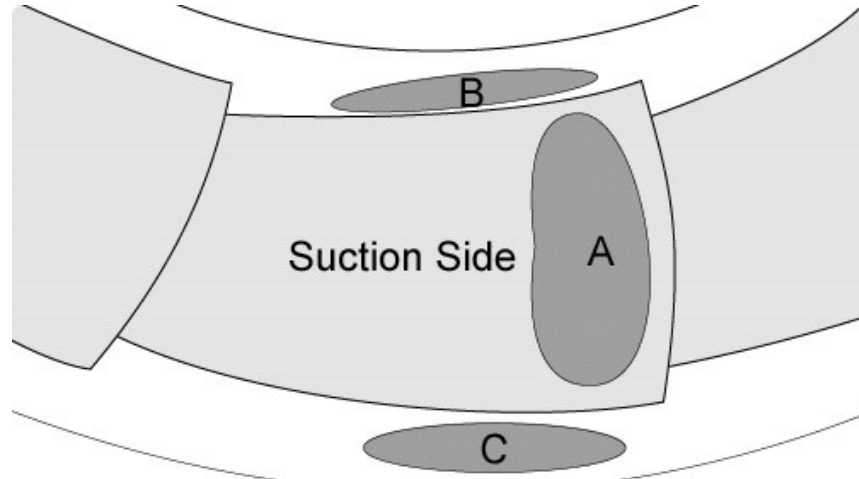
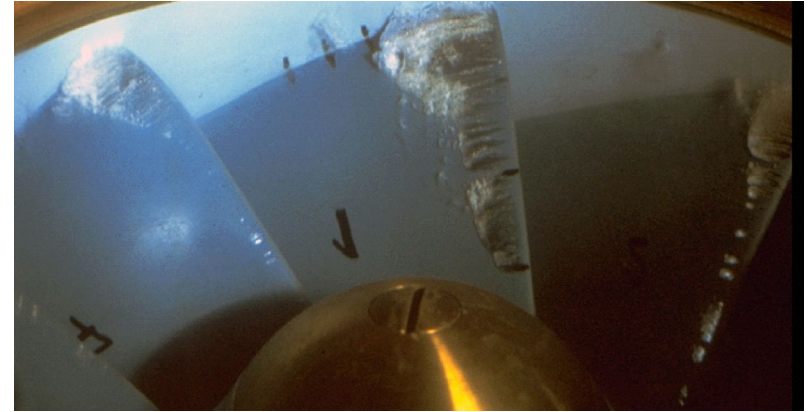
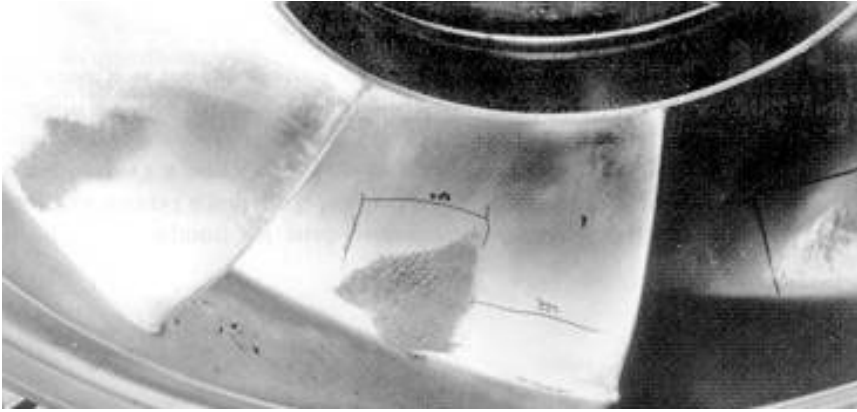
Cavitation in pumps and propellers: Main Issues

- Erosion Risk:
 - Impeller
 - Pump (suction) inlet
 - Mechanical seals
 - Bearings
- Shaft alignment
- Efficiency Alteration
- Suction Capability



Example: Propeller with cavitation pitting erosion

Typical Pump Cavitation Erosion



Centrifugal Pump Cavitation Erosion

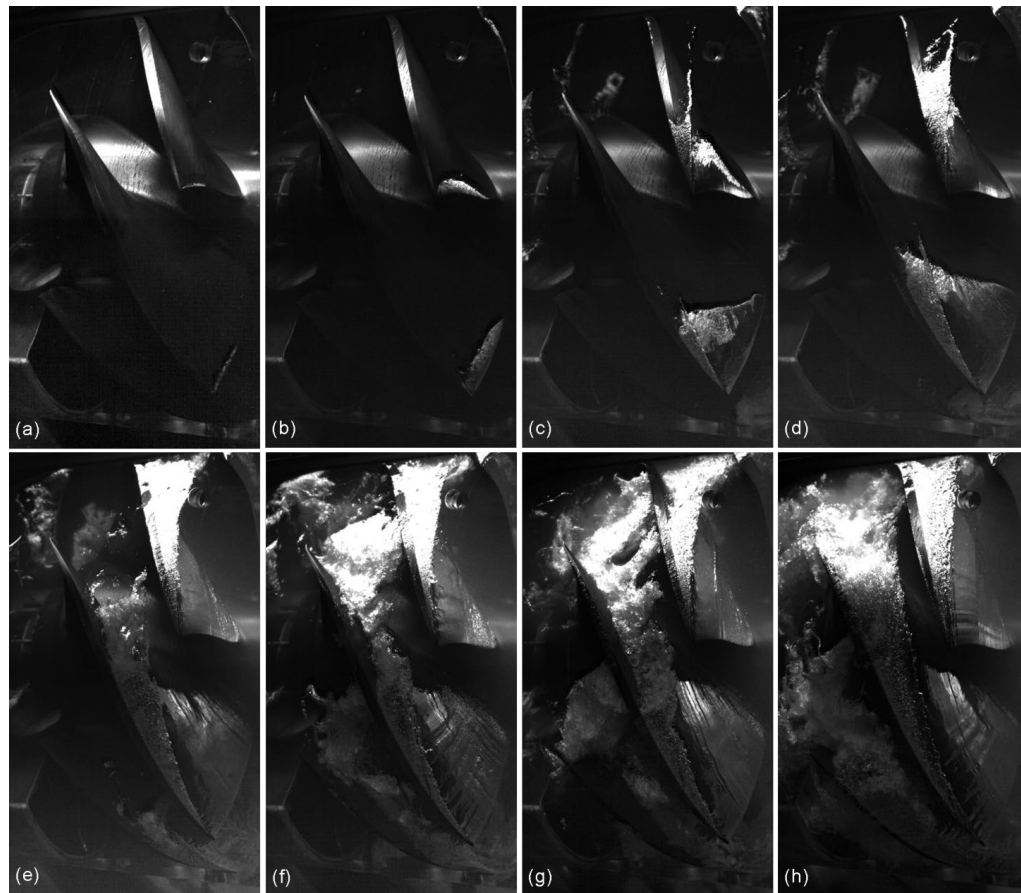
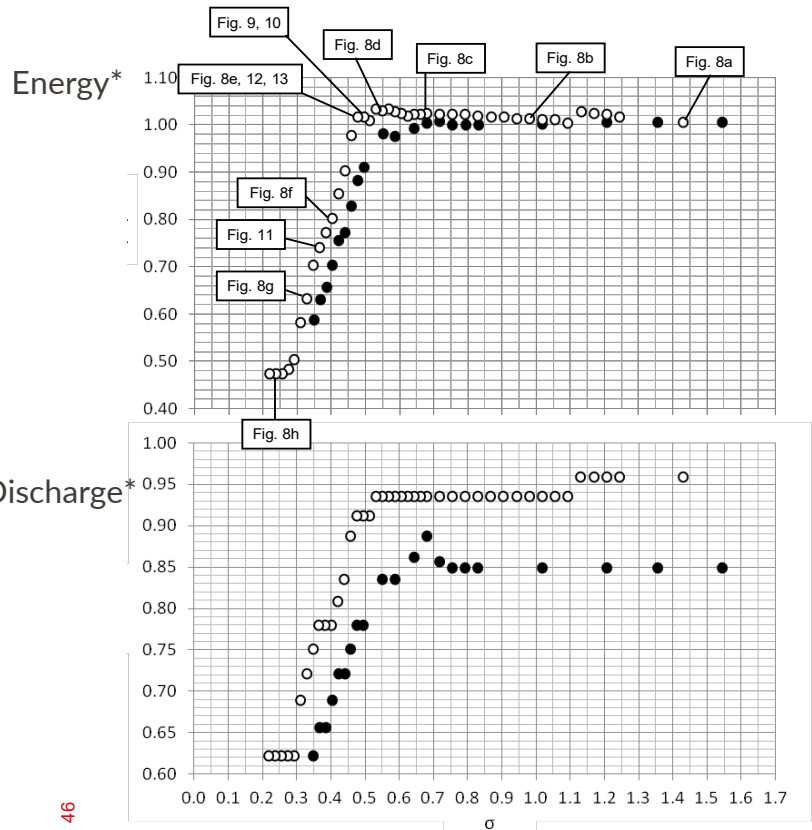


Erosion due to suction cavitation: too low pressure or setting level



Erosion due to discharge cavitation

Performance Degradation in Marine Propellers





Topics of the lecture

Cavitation Characteristics

Setting level of hydraulic machines

Cavitation in hydraulic turbines

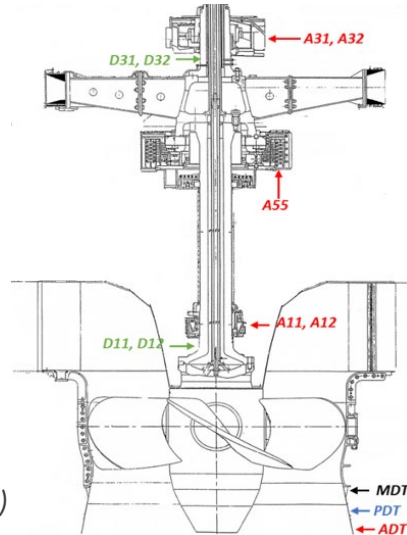
Cavitation in pumps

Cavitation Damages

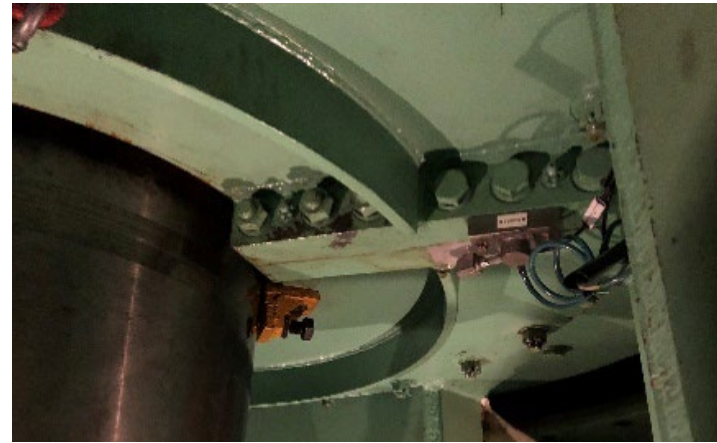
Cavitation Detection Techniques

Cavitation Detection Techniques

- Efficiency deviation from the expected value
- E-Monitoring:
 - Noise: Hydrophones or Microphones are often adopted (dB threshold)
 - Vibrations: Accelerometers are placed on the shaft-line of the hydraulic machine



ACCELEROMETERS



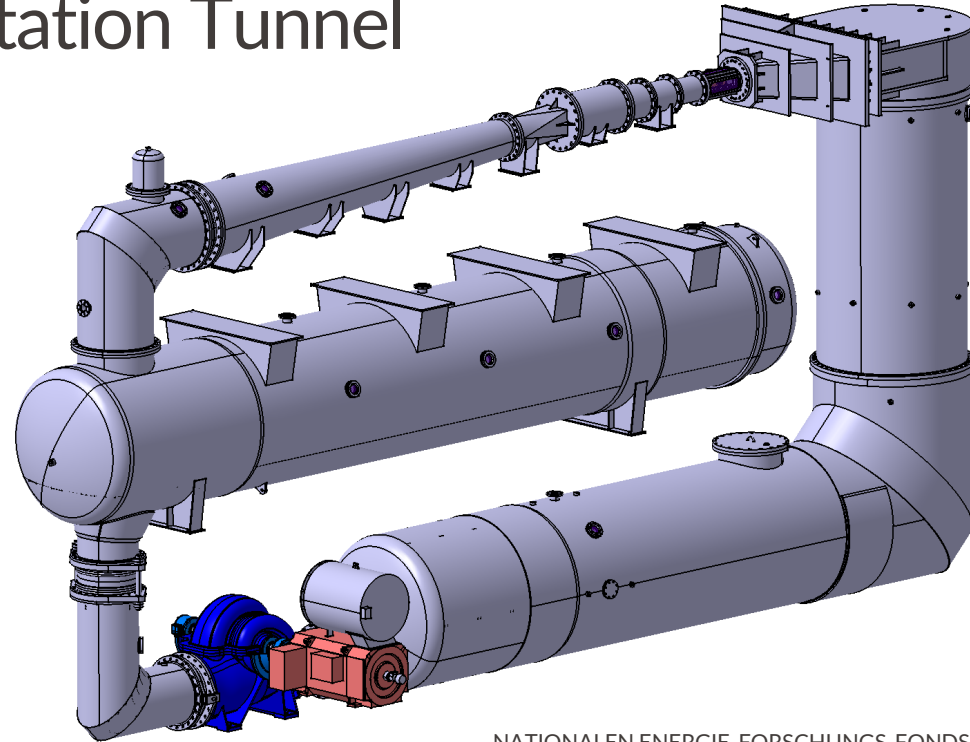
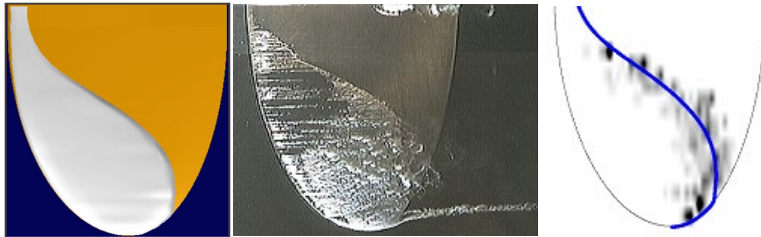
Cavitation Detection Techniques

Observations during maintenance:

- **Periodic maintenance intervals** (typically every 4000h of operation) allows observing the status of the machine components and eventual damages due to cavitation erosion
- **Predictive maintenance:** with Industry 4.0, new forecasting methods are currently under development to predict when cavitation damages may occur depending on the duration and severity of the operation in off-design condition and the material of the machine components

Cavitation Research Facility: EPFL High Speed Cavitation Tunnel

- Max Flow Velocity: 50 m/s
- Max Pressure : 20 bar
- Optical Instrumentation
 - PIV, LDV
 - Laser Vibrometer
 - High-Speed Cameras
- Acceleration and p-sensors
- 5 Components Load Cell



NATIONALEN ENERGIE-FORSCHUNGS-FONDS



Roland HIRSCHI, "Prédiction par modélisation numérique tridimensionnelle des effets de la cavitation à poche dans les turbomachines hydrauliques. EPFL Thesis n° 1777 (1998)

The background of the slide is a photograph of a modern building at night. The building features large glass windows that are brightly lit from within, casting a warm glow. The building's facade is dark, and the sky above is a deep blue. In the foreground, there is a dark, flat surface, possibly a road or a plaza, with some distant lights and a small structure visible.

THANK YOU!

QUESTIONS?